



## Emerging Spin and Transverse Momentum Effects in pp and p+A Collisions

RIKEN BNL Research Center Workshop  
February 8-10, 2016 at Brookhaven National Laboratory

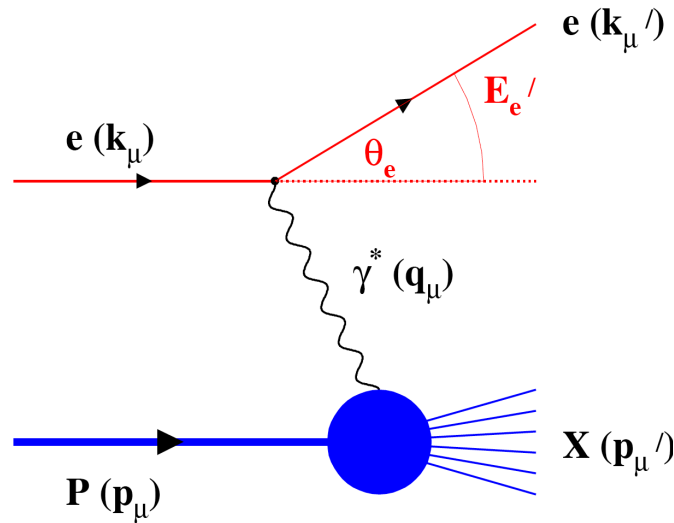
# Connection to Electron-Ion Collider and the opportunities

Jianwei Qiu

*Brookhaven National Laboratory*

**Acknowledgement:** Much of the physics presented here are based on the work of EIC White Paper Writing Committee put together by BNL and JLab managements, ...

# The lepton-hadron scattering facility



$Q^2 \rightarrow$  Measure of resolution

$y \rightarrow$  Measure of inelasticity

$x \rightarrow$  Measure of momentum fraction  
of the struck quark in a proton

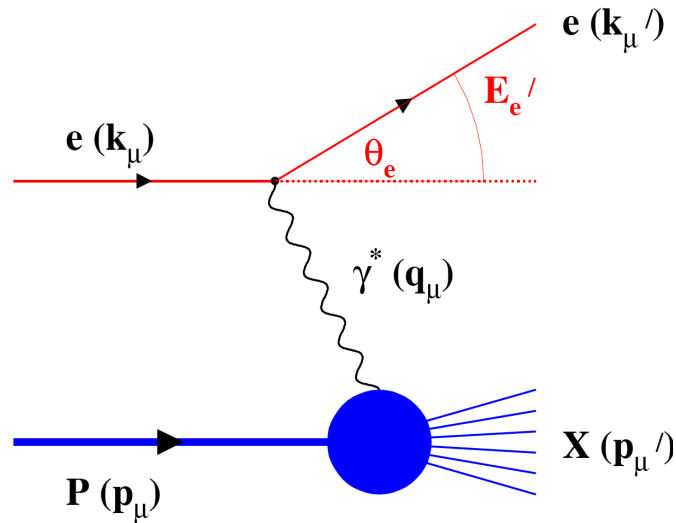
$$Q^2 = S \times y$$

❑ Complimentary to lepton-lepton and hadron-hadron scattering

❑ Have a number of important advantages:

- ✧ A well-controlled hard scale:  $Q^2$  – a clean short-distance probe
- ✧ Better control of the partonic kinematics
- ✧ Natural event structure with one large and one small scales – TMDs
- ✧ Large momentum transfer,  $Q^2 \gg (1/\text{fm})^2$ , without breaking the proton – GPDs
- ✧ Well-defined leptonic scattering plan, angular modulation, fluctuation, ...

# The lepton-hadron scattering facility



$Q^2 \rightarrow$  Measure of resolution

$y \rightarrow$  Measure of inelasticity

$x \rightarrow$  Measure of momentum fraction  
of the struck quark in a proton

$$Q^2 = S \times y$$

□ Full of the rich and well-defined observables:

**Inclusive events:**  $e+p/A \rightarrow e'+X$

Detect only the scattered lepton in the detector

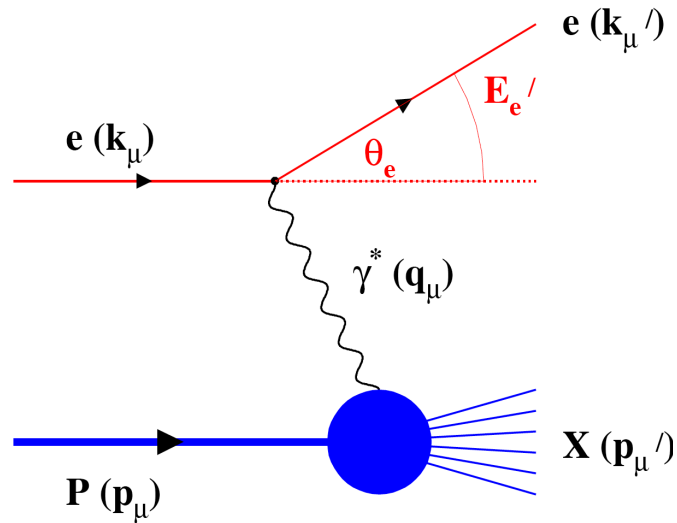
**Semi-Inclusive events:**  $e+p/A \rightarrow e'+h(\pi,K,p,jet)+X$

Detect the scattered lepton in coincidence with identified hadrons/jets

**Exclusive events:**  $e+p/A \rightarrow e'+p'/A'+h(\pi,K,p,jet)$

Detect every things including scattered proton/nucleus (or its fragments)

# New opportunities from pp/pA to ep/eA



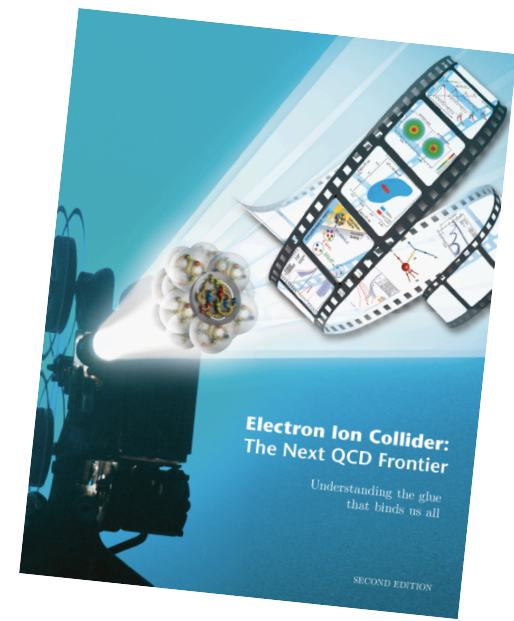
$Q^2 \rightarrow$  Measure of resolution

$y \rightarrow$  Measure of inelasticity

$x \rightarrow$  Measure of momentum fraction  
of the struck quark in a proton

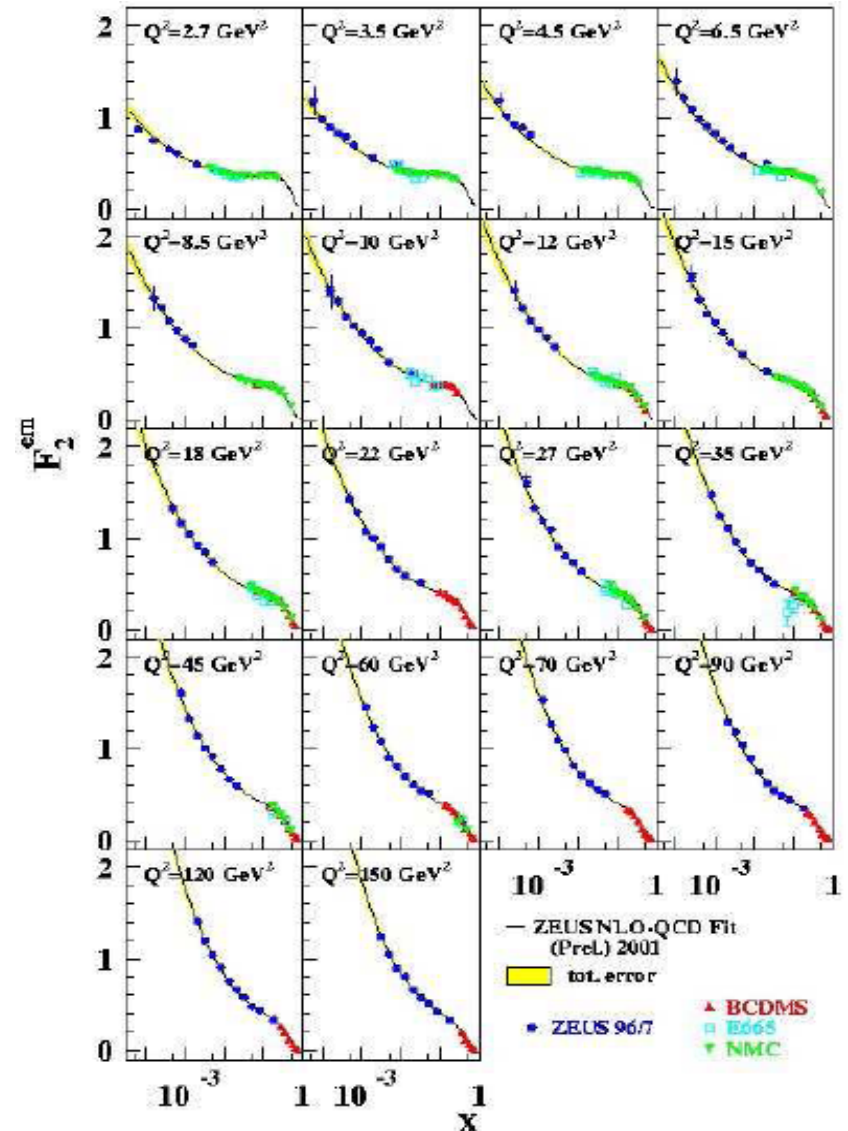
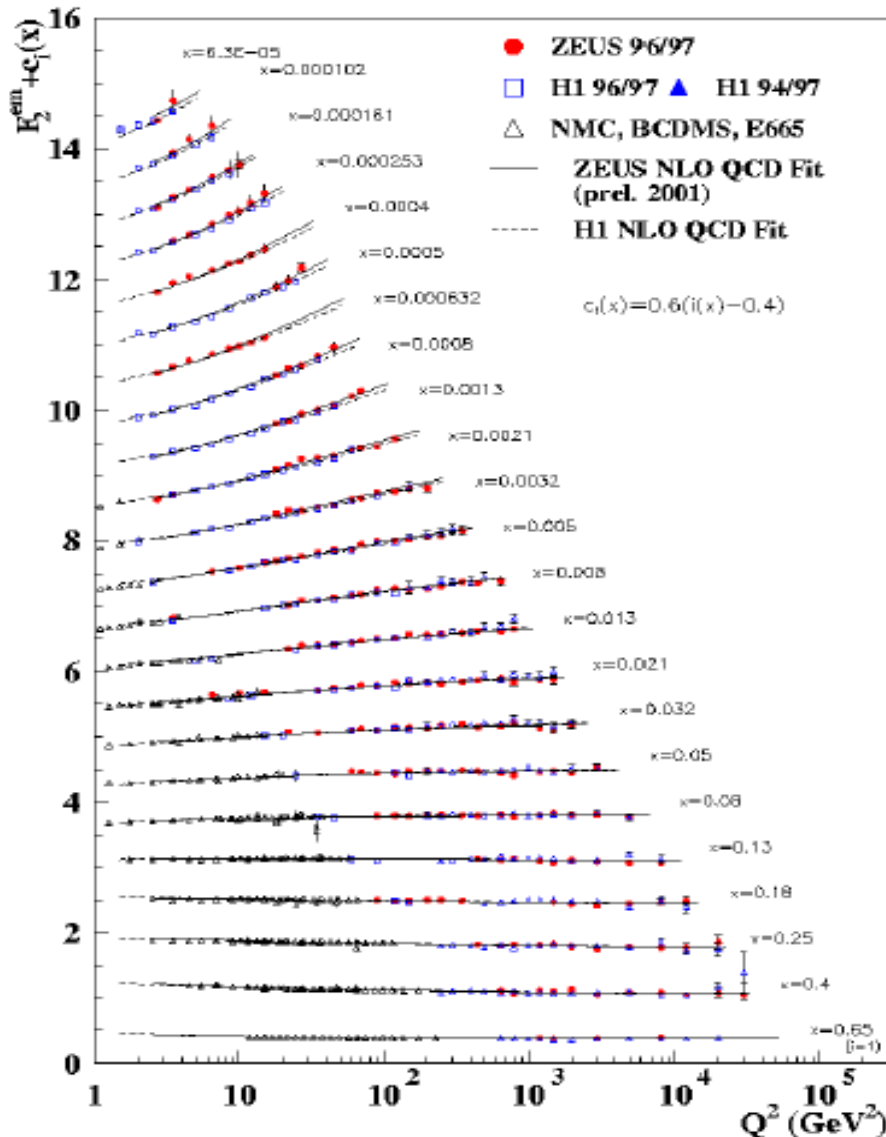
$$Q^2 = S \times y$$

## □ Documentations:



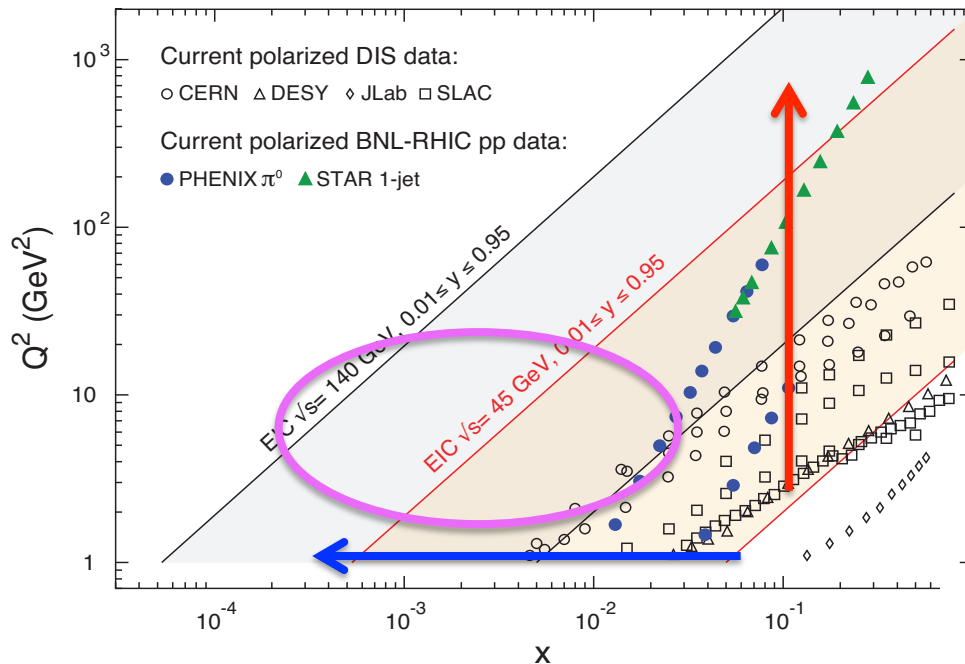


# What have we learned from HERA?



*Precision tests of QCD and hadron structure*

# US EIC – Kinematic reach & properties

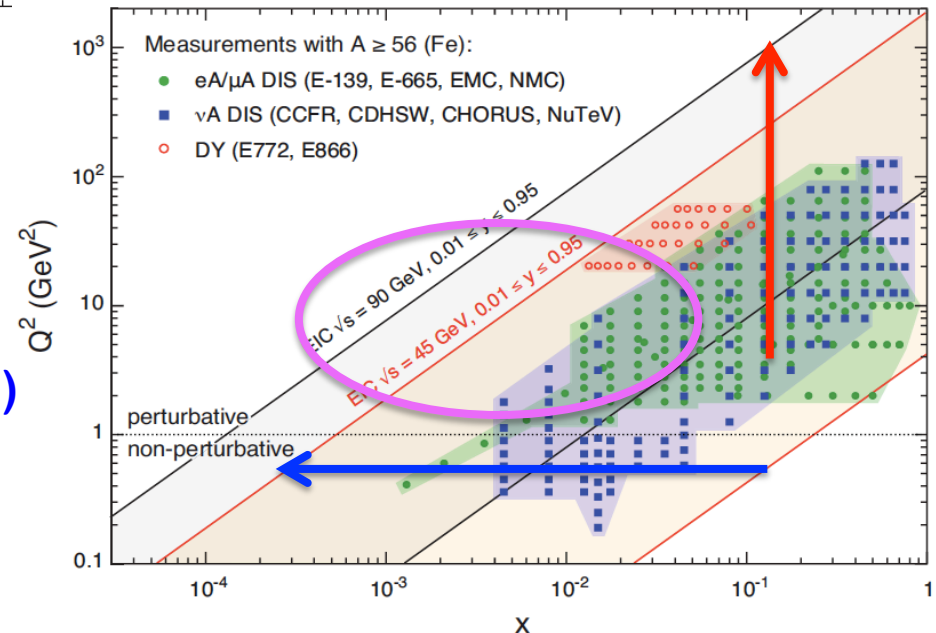


## For e-A collisions at the EIC:

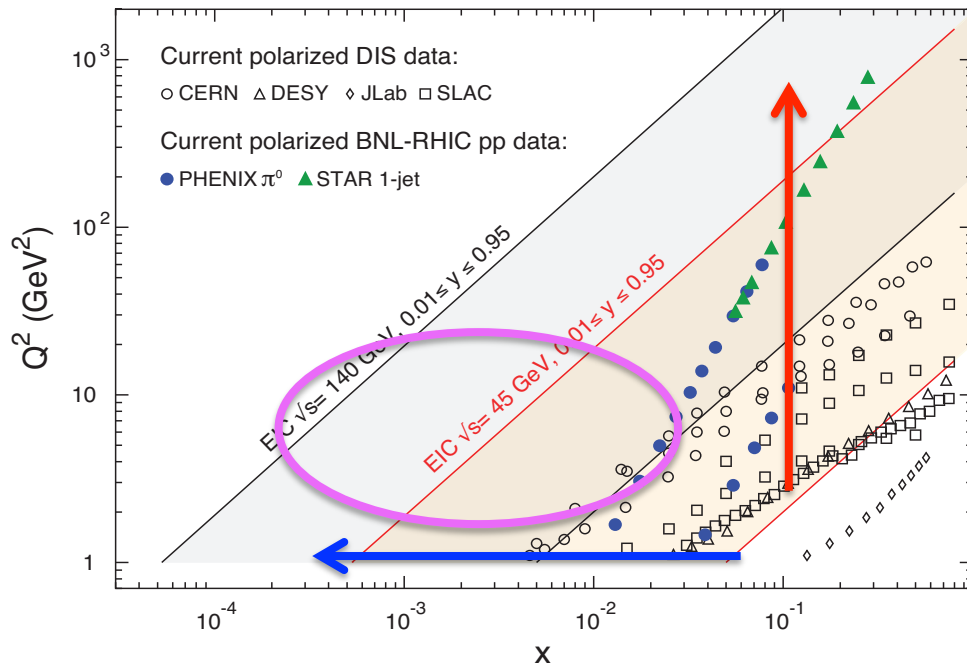
- ✓ Wide range in nuclei
- ✓ Variable center of mass energy
- ✓ Wide  $Q^2$  range (evolution)
- ✓ Wide  $x$  region (high gluon densities)

## For e-N collisions at the EIC:

- ✓ Polarized beams: e, p, d/ $^3$ He
- ✓ Variable center of mass energy
- ✓ Wide  $Q^2$  range  $\rightarrow$  evolution
- ✓ Wide  $x$  range  $\rightarrow$  spanning from valence to low- $x$  physics
- ✓ 100-1K times of HERA Luminosity



# US EIC – Kinematic reach & properties



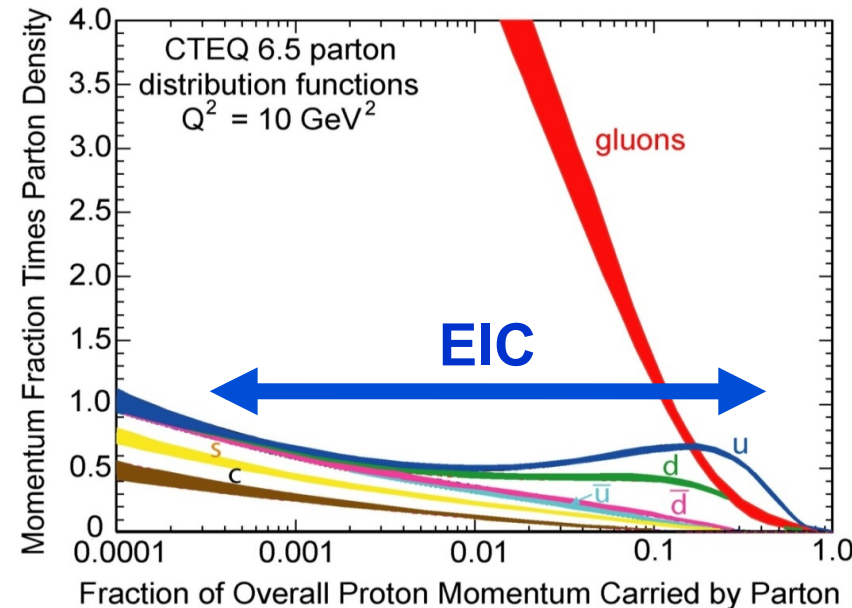
## For e-A collisions at the EIC:

- ✓ Wide range in nuclei
- ✓ Variable center of mass energy
- ✓ Wide  $Q^2$  range (evolution)
- ✓ Wide  $x$  region (high gluon densities)

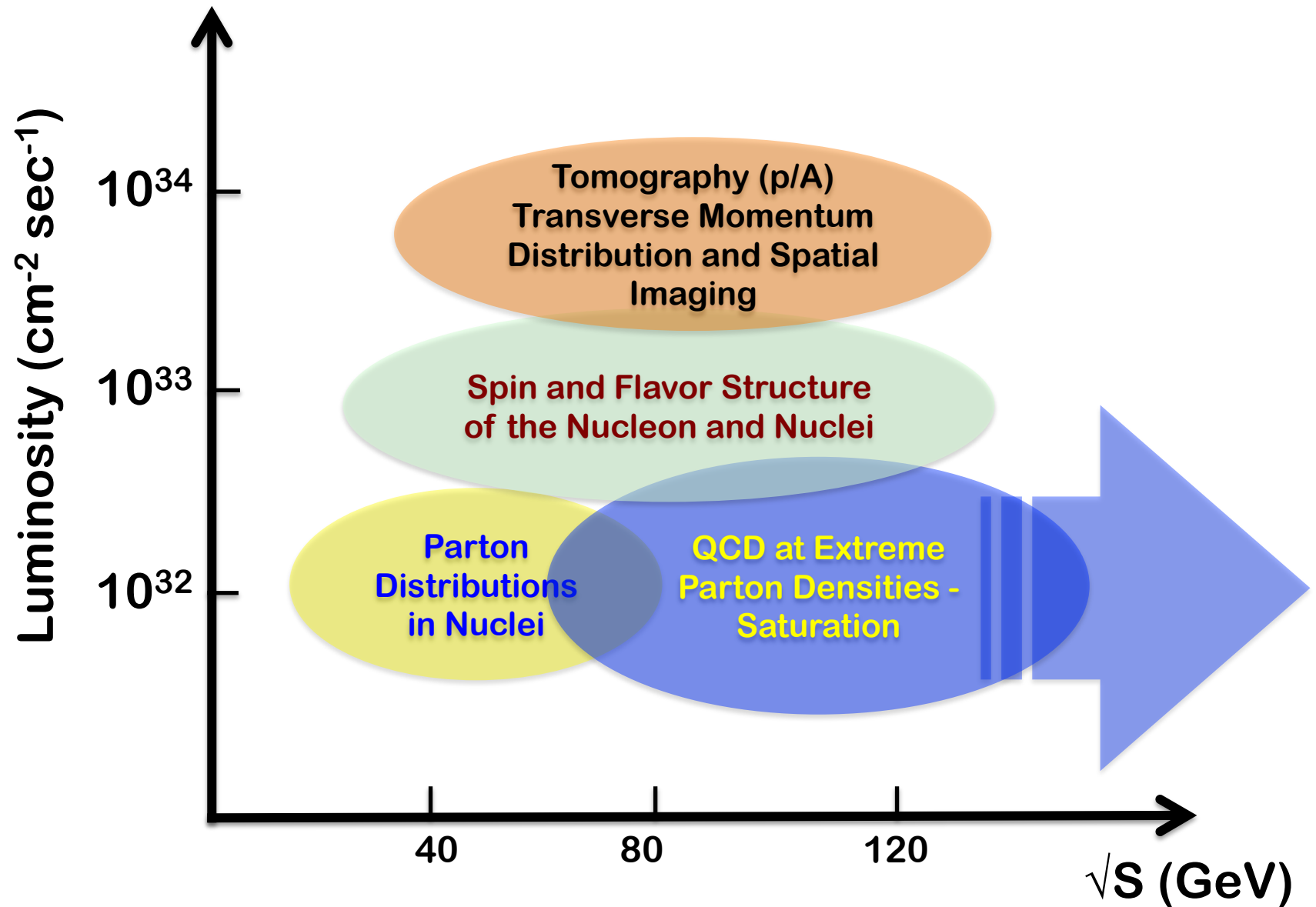
*EIC explores the “sea” and the “glue”, the “valence” with a huge level arm*

## For e-N collisions at the EIC:

- ✓ Polarized beams: e, p, d/<sup>3</sup>He
- ✓ Variable center of mass energy
- ✓ Wide  $Q^2$  range → evolution
- ✓ Wide  $x$  range → spanning from valence to low- $x$  physics
- ✓ 100-1K times of HERA Luminosity



# US EIC – Physics vs. Luminosity & Energies



# Inclusive DIS

## □ Observable: $e+p/A \rightarrow e'+X$

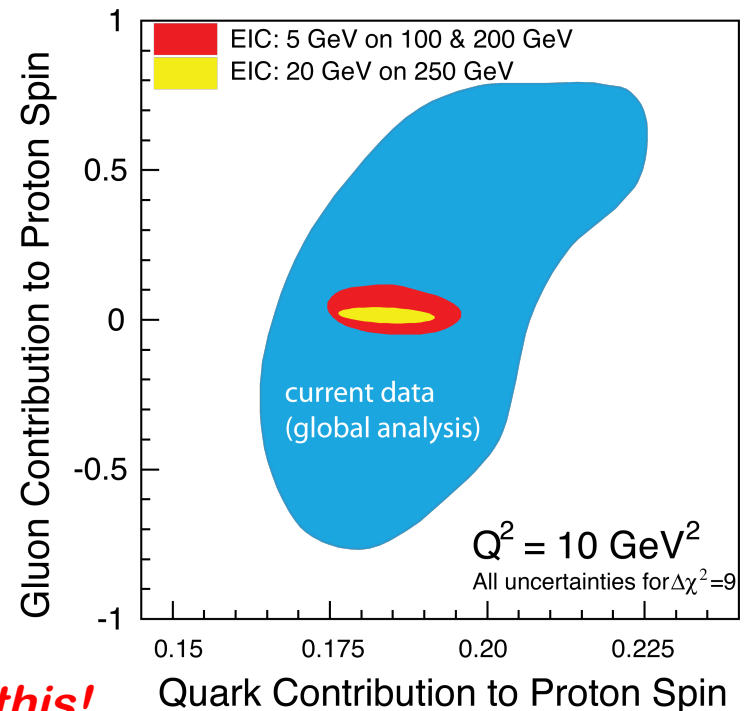
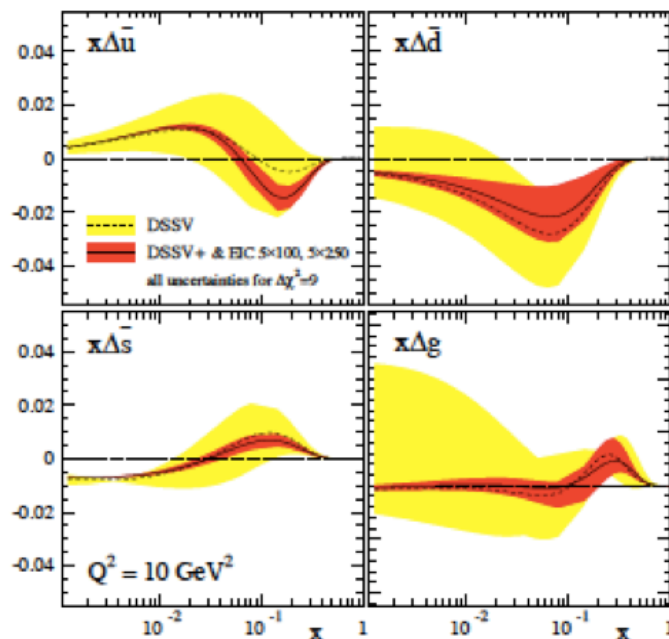
– Detect only the scattered lepton in the detector – single scale

✧ Structure functions:  $F_1, F_2, g_1, g_2, \dots$

✧ PDFs, Helicity PDFs:  $q(x), g(x), \Delta q(x), \Delta g(x)$

## □ Golden measurements:

– Parton helicity contribution to proton's spin



*No other machine in the world can achieve this!*

# Inclusive DIS

## □ Observable: $e+p/A \rightarrow e'+X$

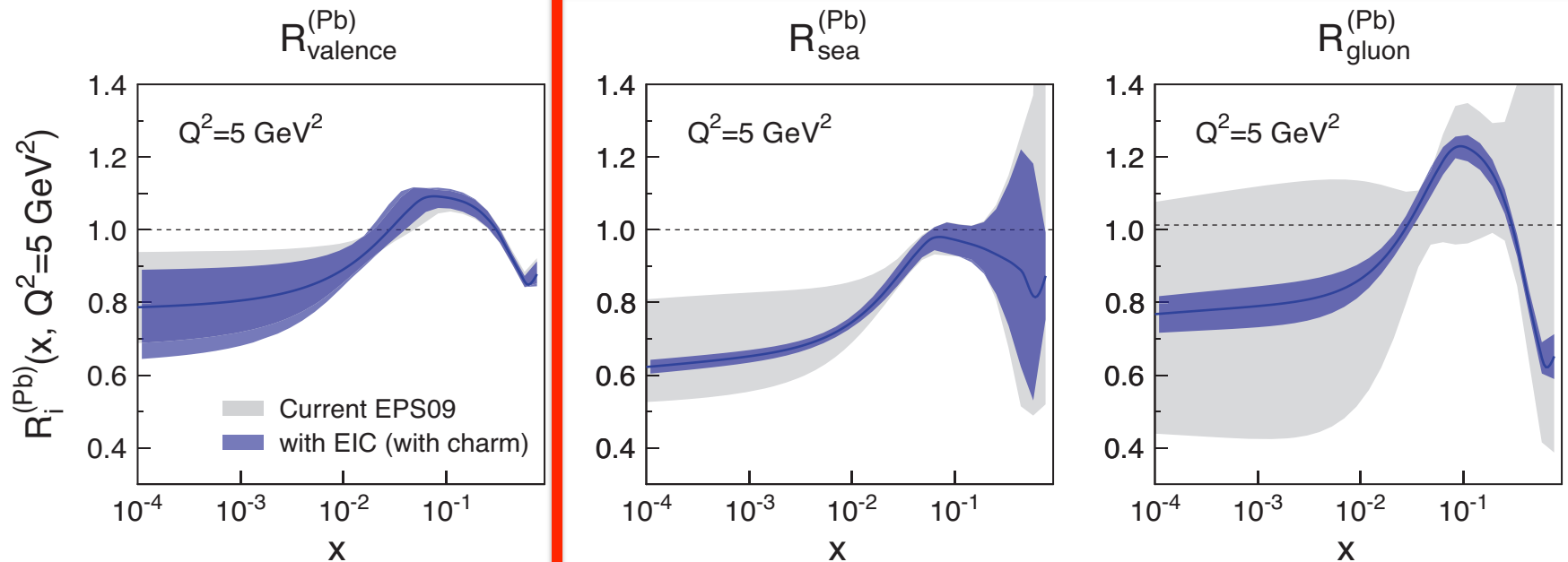
– *Detect only the scattered lepton in the detector – single scale*

✧ Structure functions:  $F1, F2, g1, g2, \dots$

✧ PDFs, Helicity PDFs:  $q(x), g(x), \Delta q(x), \Delta g(x)$

## □ Golden measurements:

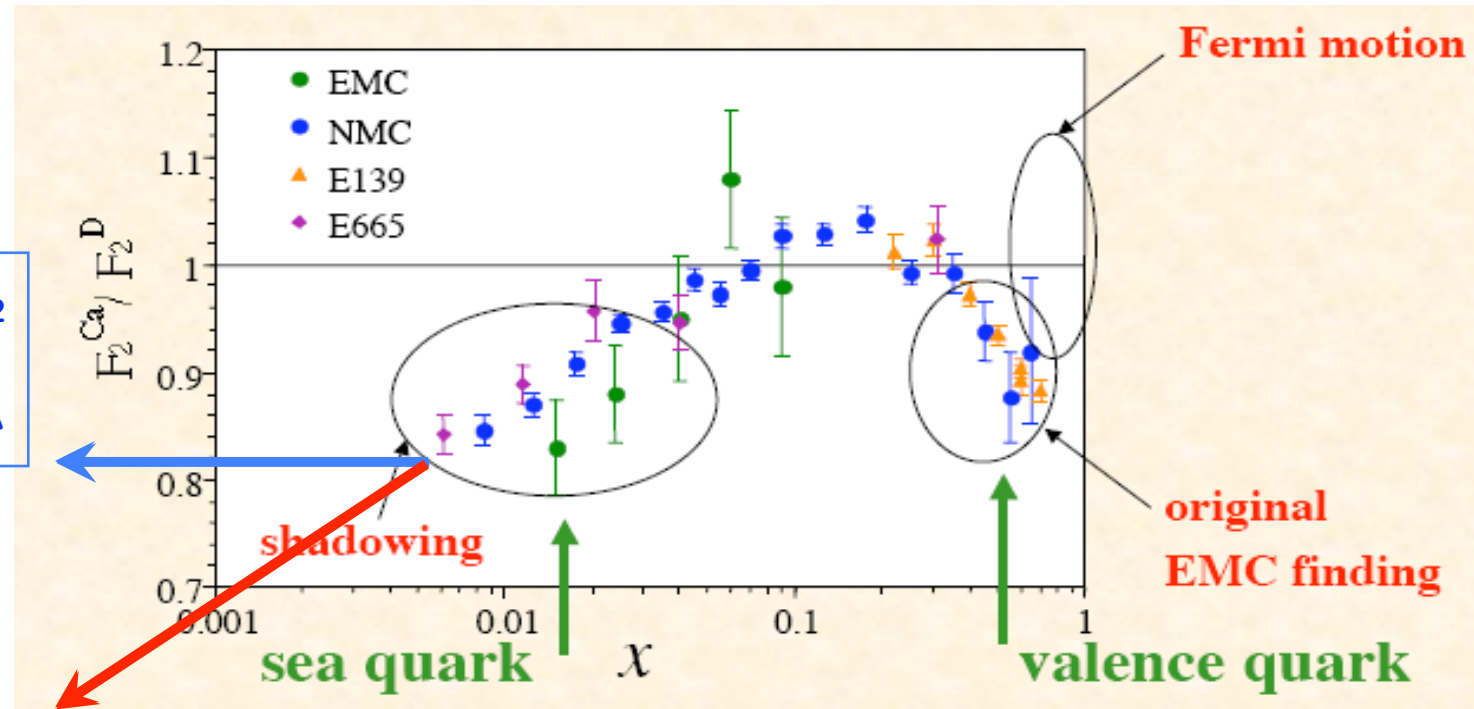
– *Nuclear landscape – EIC impact on nuclear PDFs*





# An “easy” measurement for the saturation

## □ EMC effect, Shadowing and Saturation:



## □ Questions:

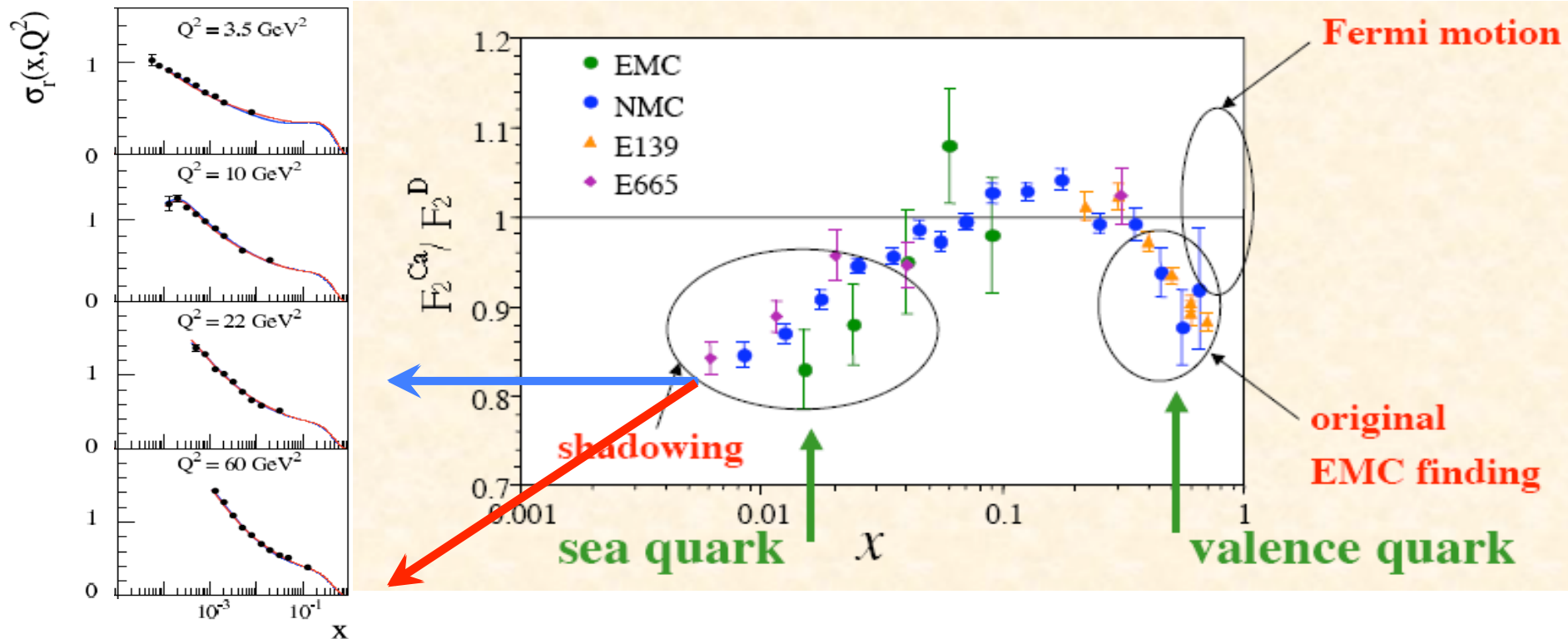
Will the suppression/shadowing continue fall as  $x$  decreases?

Could nucleus behaves as a large proton at small- $x$ ?

*Range of color correlation – could impact the center of neutron stars!*

# An “easy” measurement for the saturation

## □ EMC effect, Shadowing and Saturation:



## □ Questions:

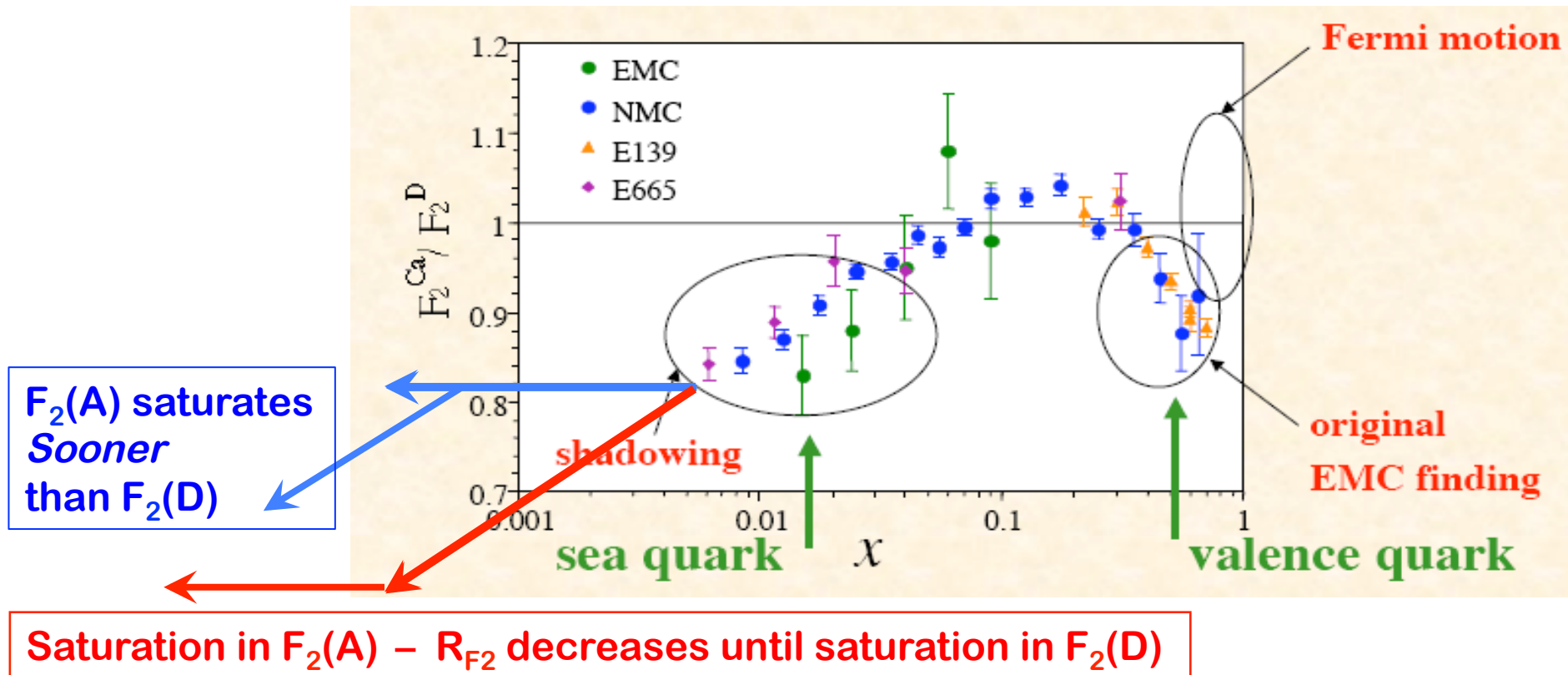
Will the suppression/shadowing continue fall as  $x$  decreases?

Could nucleus behaves as a large proton at small- $x$ ?

*Range of color correlation – could impact the center of neutron stars!*

# An “easy” measurement for the saturation

## □ EMC effect, Shadowing and Saturation:



## □ Questions:

Will the suppression/shadowing continue fall as  $x$  decreases?

Could nucleus behaves as a large proton at small- $x$ ?

*Range of color correlation – could impact the center of neutron stars!*

# Semi-Inclusive DIS

□ **Observable:**  $e+p/A \rightarrow e'+h(\pi,K,p,\text{jet})+X$

– *Detect the scattered lepton in coincidence with identified hadrons/jets*

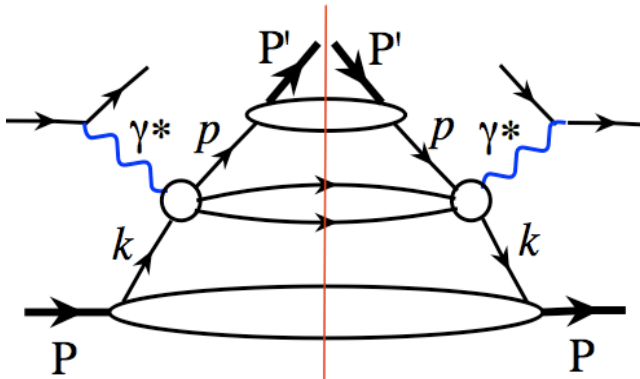
✧ Differential cross sections, asymmetries, ...

✧ Polarized & unpolarized TMDs, PDFs, FFs, ...

□ **Natural event structure:**

$Q \gg P_{hT} \gtrsim \Lambda_{\text{QCD}}$  in the photon-hadron frame

□ **Collinear QCD factorization holds if  $P_{hT}$  integrated:**



$$d\sigma_{\gamma^* h \rightarrow h'} \propto \phi_{f/h} \otimes d\hat{\sigma}_{\gamma^* f \rightarrow f'} \otimes D_{f' \rightarrow h'}$$

$$z = \frac{P_h \cdot p}{q \cdot p} \qquad y = \frac{q \cdot p}{k \cdot p}$$

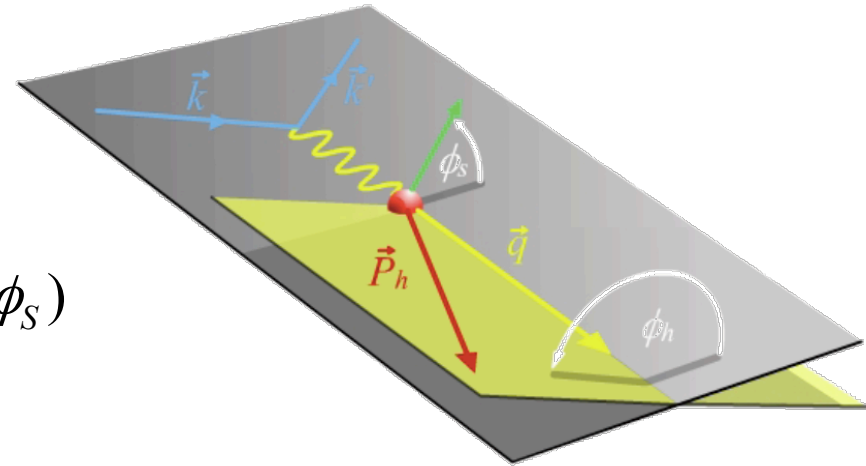
□ **“Total c.m. energy”:**

$$s_{\gamma^* p} = (p + q)^2 \approx Q^2 \left[ \frac{1 - x_B}{x_B} \right] \approx \frac{Q^2}{x_B}$$

# SIDIS is the best for probing TMDs

## □ Naturally, two planes:

$$\begin{aligned}
 A_{UT}(\varphi_h^l, \varphi_S^l) &= \frac{1}{P} \frac{N^\uparrow - N^\downarrow}{N^\uparrow + N^\downarrow} \\
 &= A_{UT}^{\text{Collins}} \sin(\phi_h + \phi_S) + A_{UT}^{\text{Sivers}} \sin(\phi_h - \phi_S) \\
 &\quad + A_{UT}^{\text{Pretzelosity}} \sin(3\phi_h - \phi_S)
 \end{aligned}$$



## □ Separation of TMDs:

$$A_{UT}^{\text{Collins}} \propto \langle \sin(\phi_h + \phi_S) \rangle_{UT} \propto h_1 \otimes H_1^\perp$$

$$A_{UT}^{\text{Sivers}} \propto \langle \sin(\phi_h - \phi_S) \rangle_{UT} \propto f_{1T}^\perp \otimes D_1$$

$$A_{UT}^{\text{Pretzelosity}} \propto \langle \sin(3\phi_h - \phi_S) \rangle_{UT} \propto h_{1T}^\perp \otimes H_1^\perp$$

← Collins frag. Func.  
from  $e^+e^-$  collisions



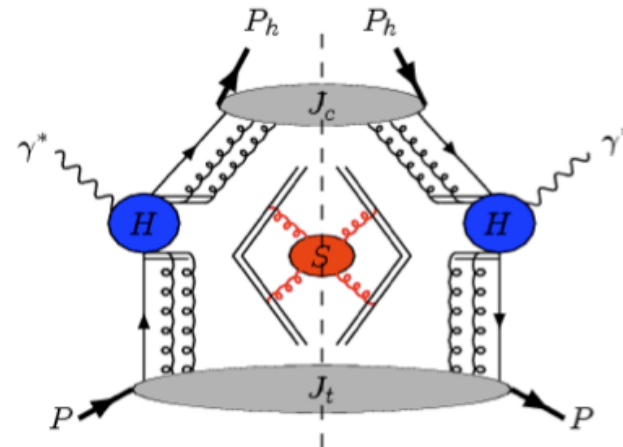
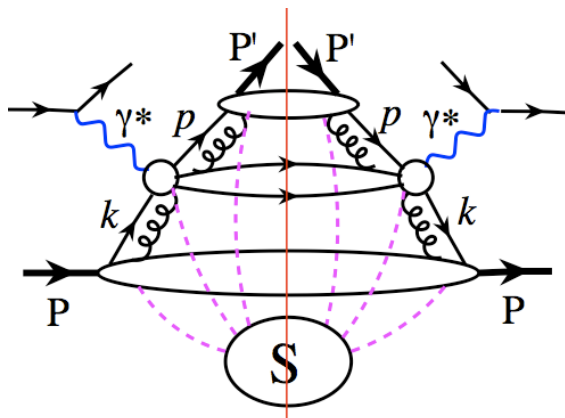
***Hard, if not impossible, to separate TMDs in hadronic collisions***

Using a combination of different observables (not the same observable):  
jet, identified hadron, photon, ...

# QCD factorization for SIDIS

Ji, Ma, Yuan

## Factorization:



## Low $P_{hT}$ – TMD factorization:

$$\sigma_{\text{SIDIS}}(Q, P_{h\perp}, x_B, z_h) = \hat{H}(Q) \otimes \Phi_f \otimes \mathcal{D}_{f \rightarrow h} \otimes \mathcal{S} + \mathcal{O}\left(\frac{P_{h\perp}}{Q}\right)$$

## High $P_{hT}$ – Collinear factorization:

$$\sigma_{\text{SIDIS}}(Q, P_{h\perp}, x_B, z_h) = \hat{H}(Q, P_{h\perp}, \alpha_s) \otimes \phi_f \otimes D_{f \rightarrow h} + \mathcal{O}\left(\frac{1}{P_{h\perp}}, \frac{1}{Q}\right)$$

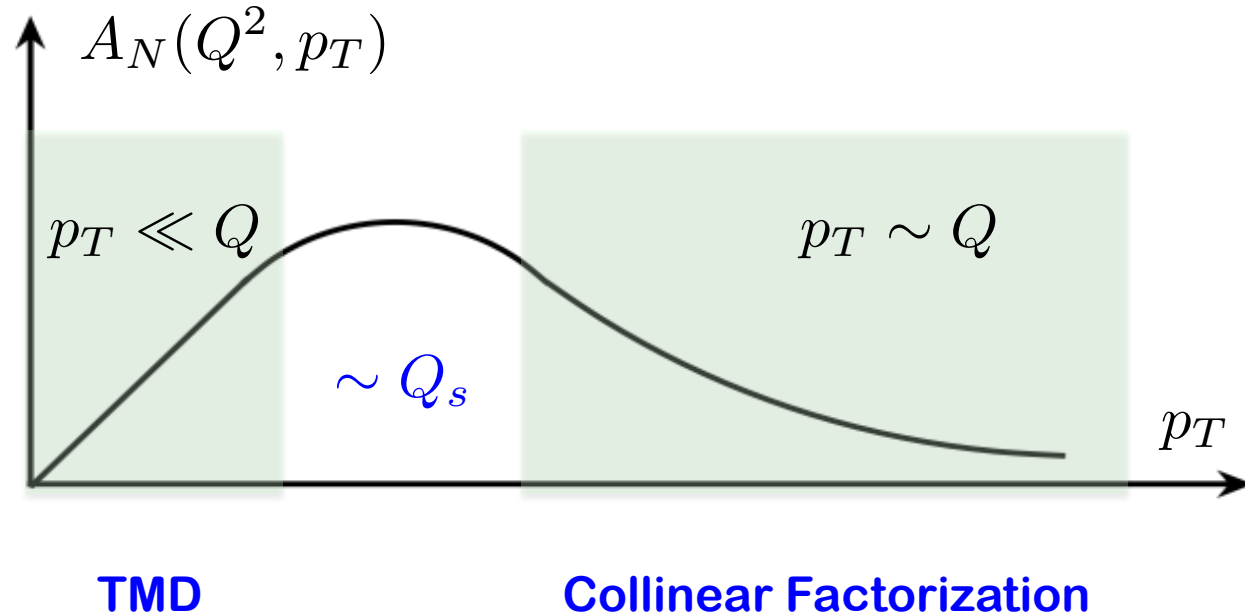
## $P_{hT}$ Integrated - Collinear factorization:

$$\sigma_{\text{SIDIS}}(Q, x_B, z_h) = \tilde{H}(Q, \alpha_s) \otimes \phi_f \otimes D_{f \rightarrow h} + \mathcal{O}\left(\frac{1}{Q}\right)$$



# Transition from low $p_T$ to high $p_T$

## □ Two-scale becomes one-scale:



## □ TMD factorization to collinear factorization:

Ji, Qiu, Vogelsang, Yuan,  
Koike, Vogelsang, Yuan

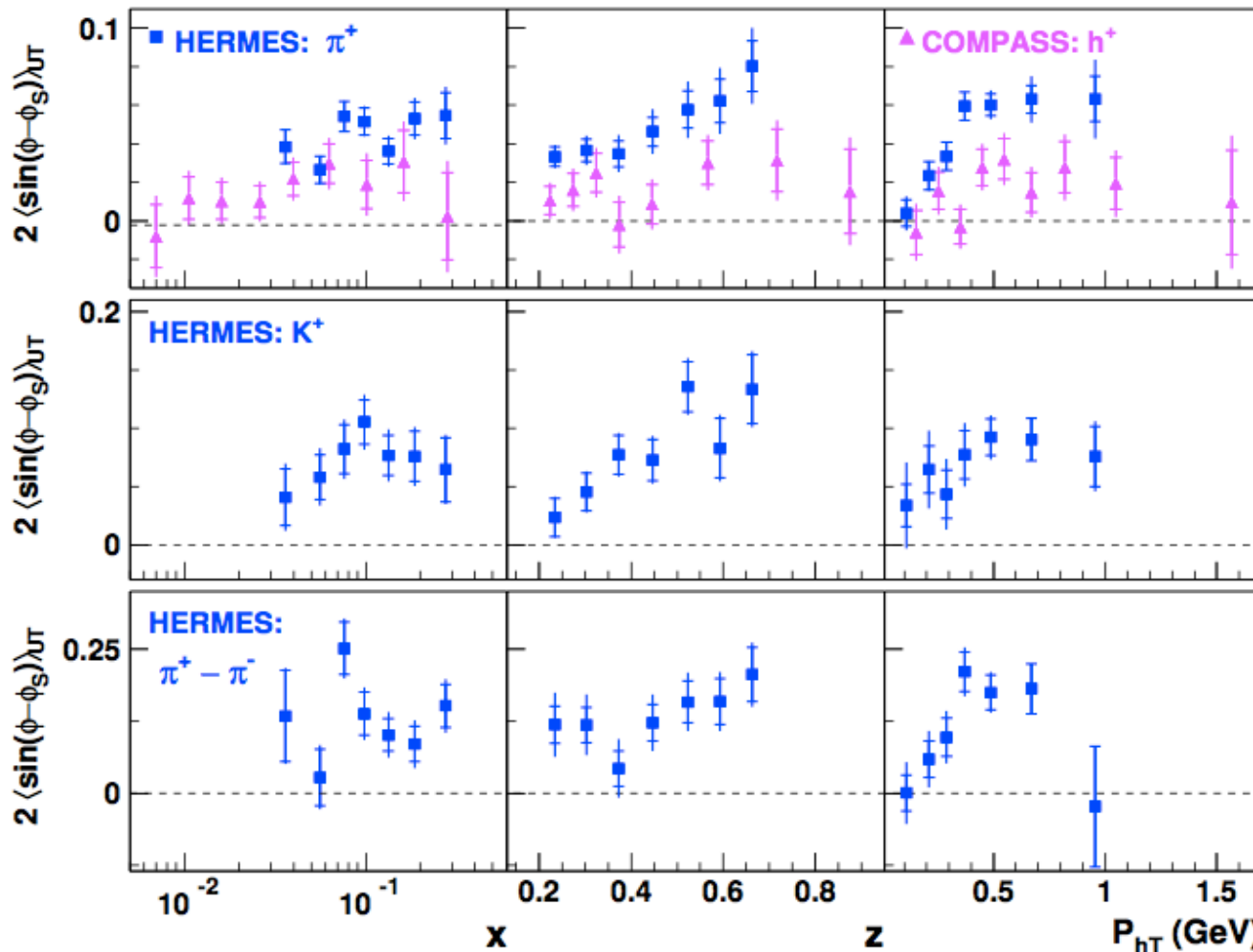
Two factorization are consistent in the overlap region:  $\Lambda_{\text{QCD}} \ll p_T \ll Q$

$A_N$  finite – requires correlation of multiple collinear partons

No probability interpretation! New opportunities!

# Sivers asymmetries from SIDIS

□ From SIDIS (HERMES and COMPASS) – low  $Q^2$ :



**Non-zero  
Sivers effects  
Observed  
in SIDIS!**

**Visible  $Q^2$   
dependence**

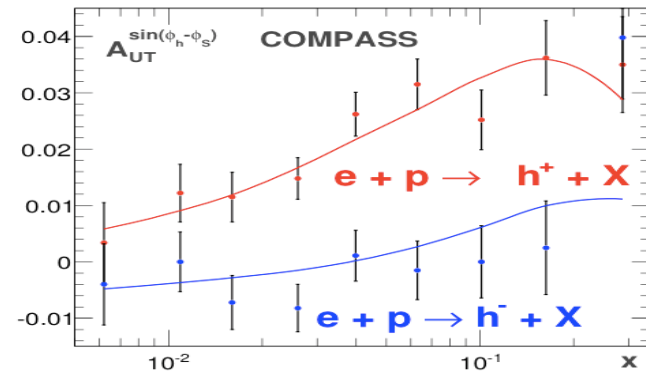
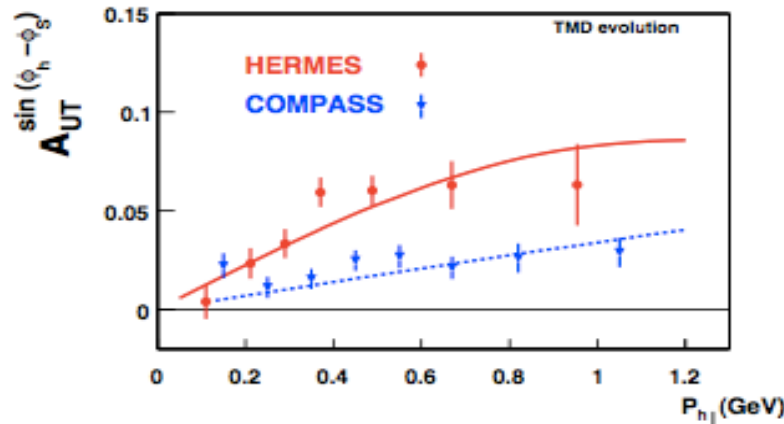
**Major theory  
development  
in last few years**

**Drell-Yan  $A_N$ :**

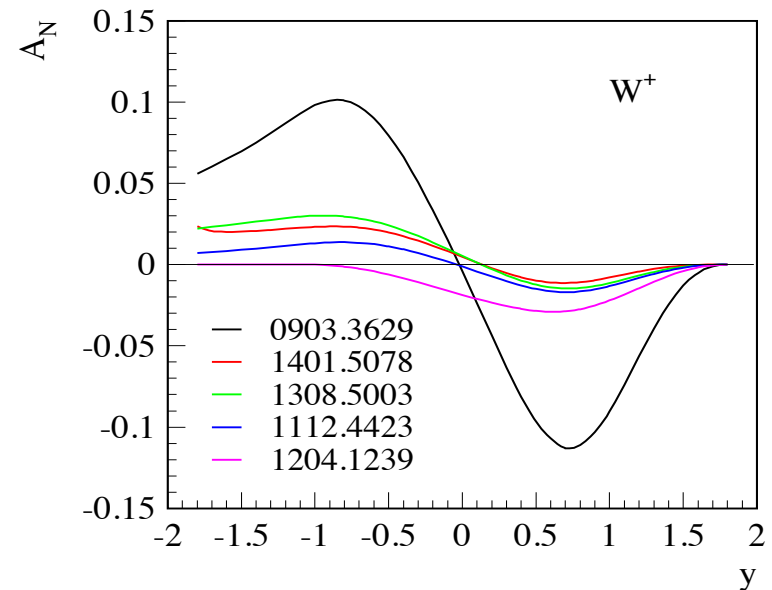
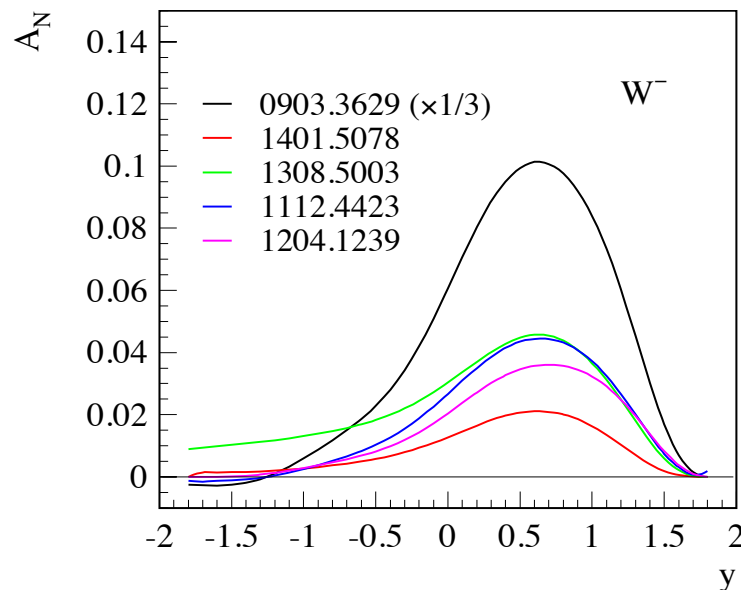
**COMPASS, RHIC run 17<sup>th</sup>, Fermilab Drell-Yan, ...**

# A surprise story for TMDs

□ Fit the same low energy data – Sivvers function:

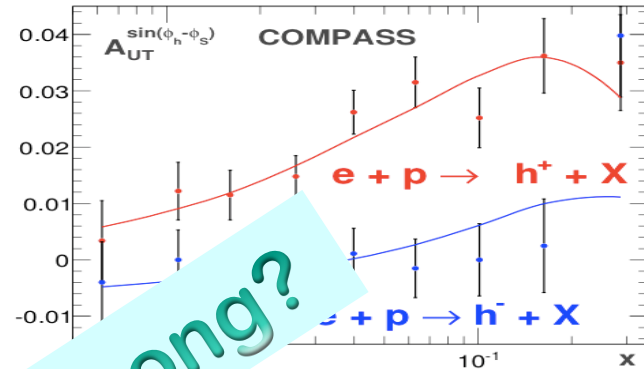
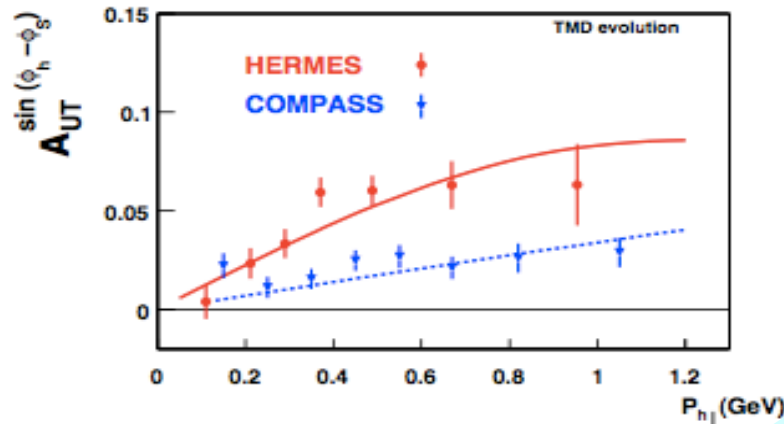


□ Very different “predictions” for  $A_N$  at a higher energy:

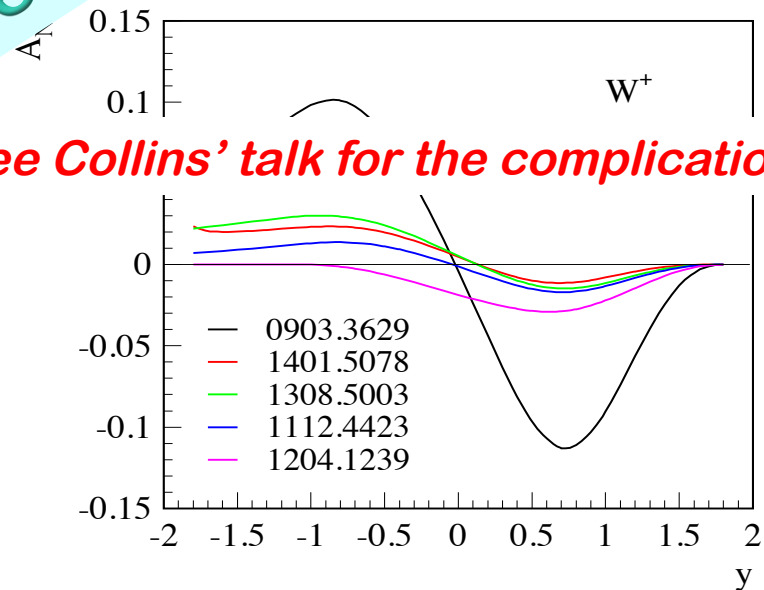
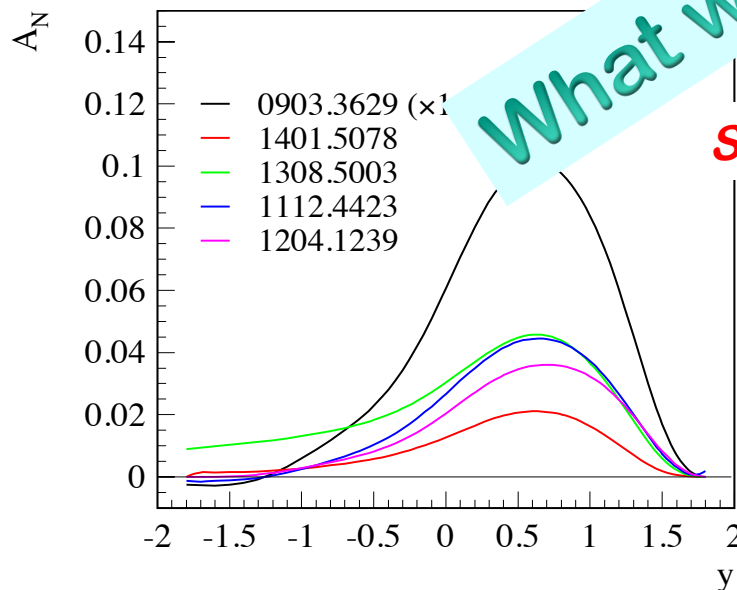


# A surprise story for TMDs

- Fit the same low energy data – Siverson function:



- Very different "predictions" at a higher energy:

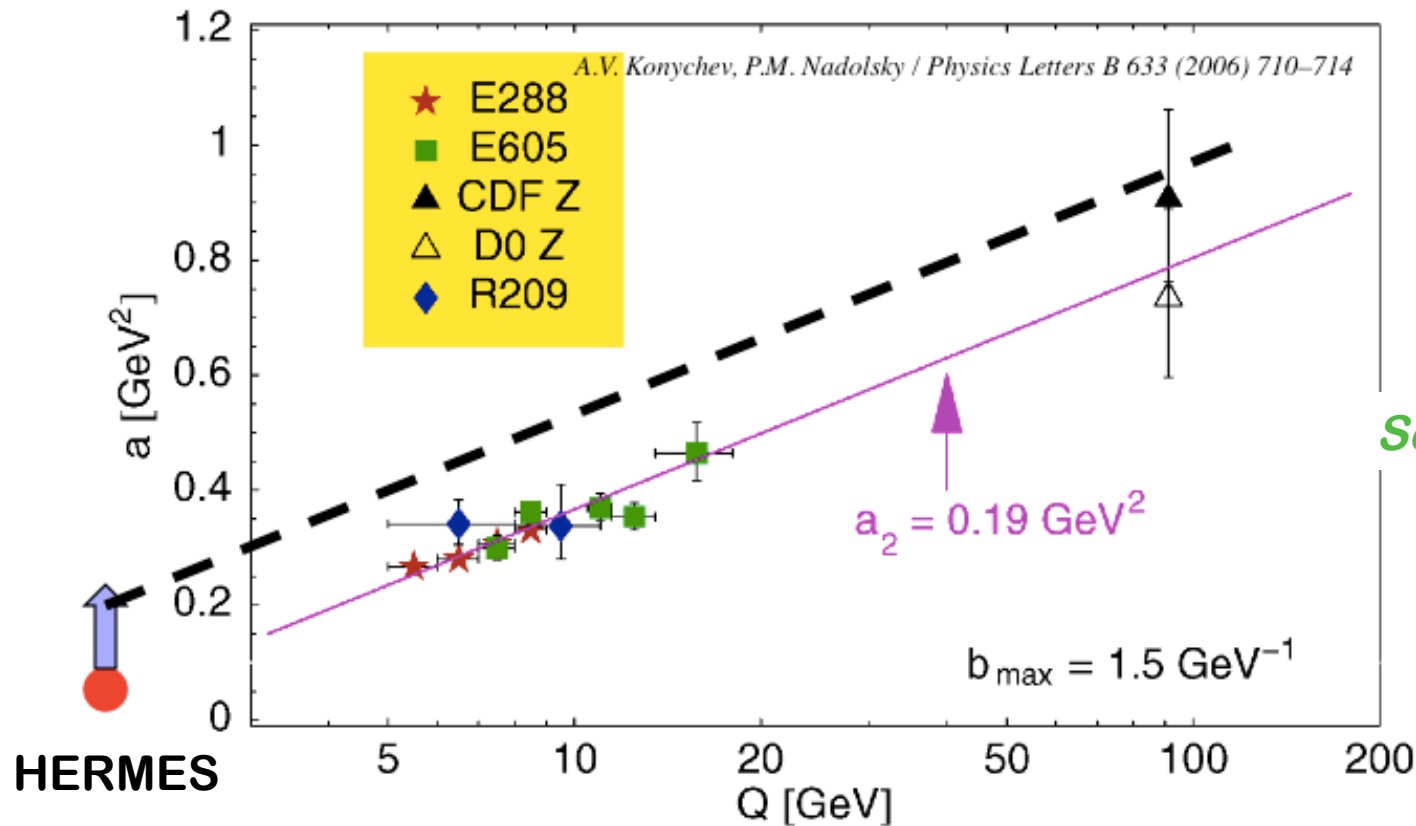


*See Collins' talk for the complication!*

# Q-dependence of the “form” factor

## □ Q-dependence of the “form factor” :

Konychev, Nadolsky, 2006



$$\mathcal{F}^{\text{NP}}(b, Q) = a(Q^2) b^2$$

See Collins' talk!

At  $Q \sim 1 \text{ GeV}$ ,  $\ln(Q/Q_0)$  term may not be the dominant one!

$$\mathcal{F}^{\text{NP}} \approx b^2 (a_1 + a_2 \ln(Q/Q_0) + a_3 \ln(x_A x_B) + \dots) + \dots$$

Power correction?  $(Q_0/Q)^n$ -term?

Better fits for HERMES data?

*A sufficiently large  $Q^2$ -range at EIC*

# Exclusive DIS

❑ **Observable:**  $e+p/A \rightarrow e' + p'/A' + h(\pi, K, p, \text{jet})$

– *Detect every things including scattered proton/nucleus (or its fragments)*

✧ Diffractive cross sections: DVCS, J/psi, ...

✧ Polarized & unpolarized GPDs – tomographic images, ...

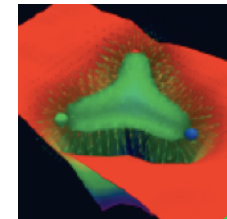
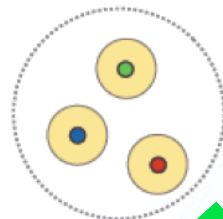
❑ **Golden measurements:**

– *Charge radius, radius of quark distribution, or gluon distribution*

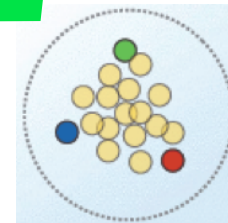
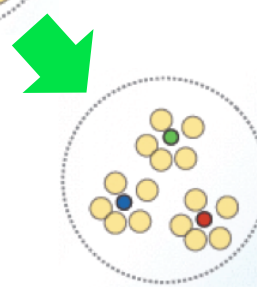
– *gluon saturation, ...*

❑ **What does the proton look like?**

Static:



Hard probe:



Bag Model

Quark Model

Lattice

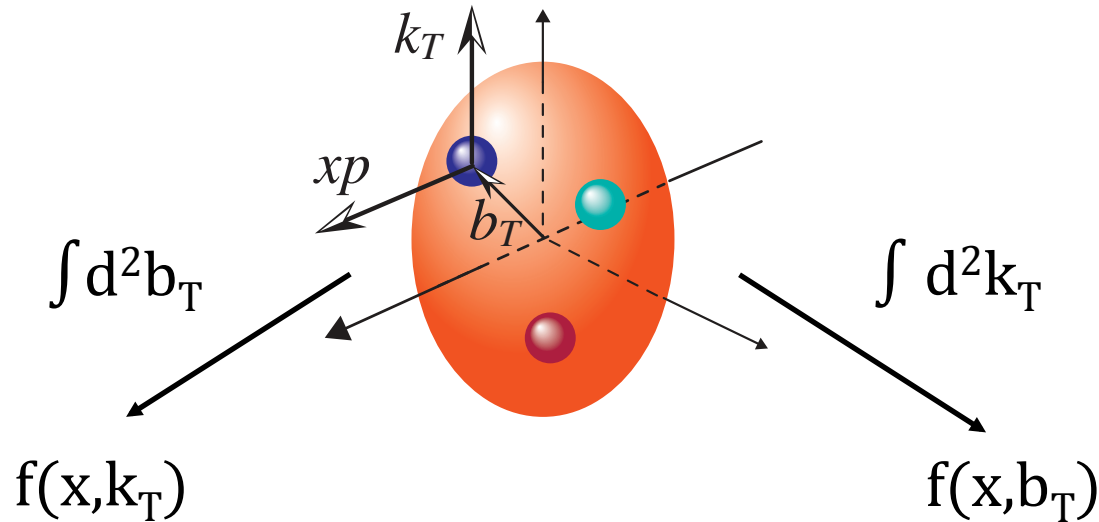


# Boosted 3D nucleon structure

□ High energy probes “see” the boosted partonic structure:

*Momentum  
Space*

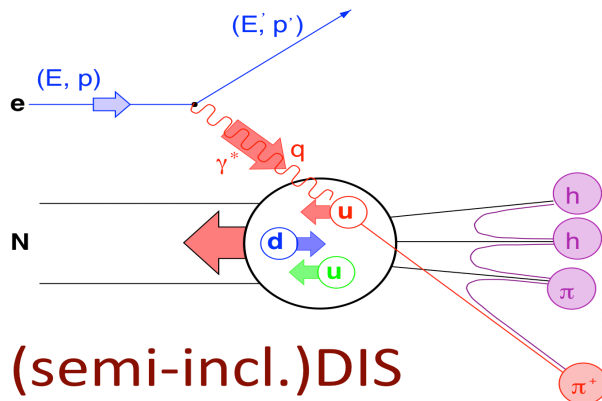
*TMDs*



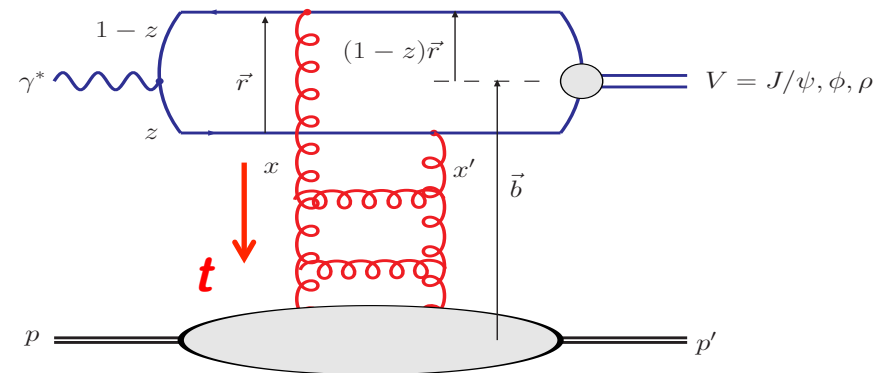
*Coordinate  
Space*

*GPDs*

*3D momentum space images*



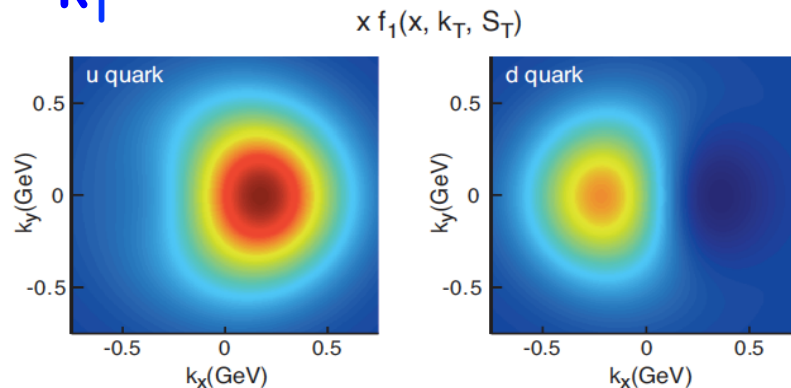
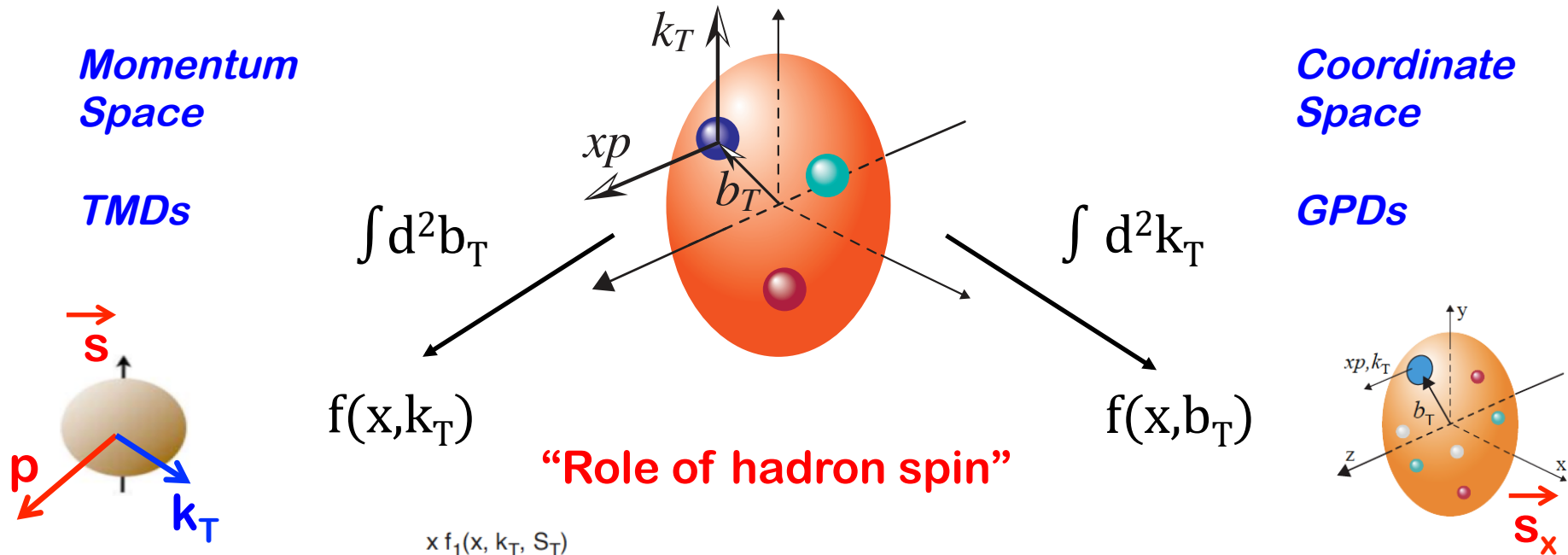
*2+1D coordinate space images*



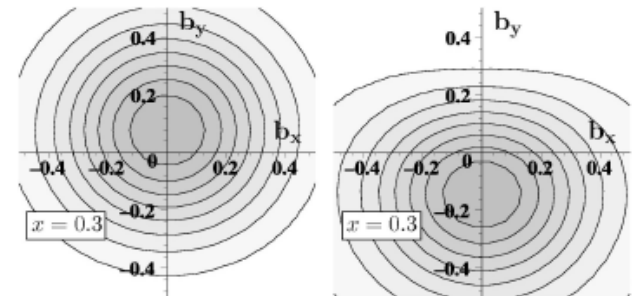
JLab12 for valence region, EIC for sea and gluon structure

# Boosted 3D nucleon structure

- ❑ High energy probes “see” the boosted partonic structure:



## Deformation of parton's *confined motion*

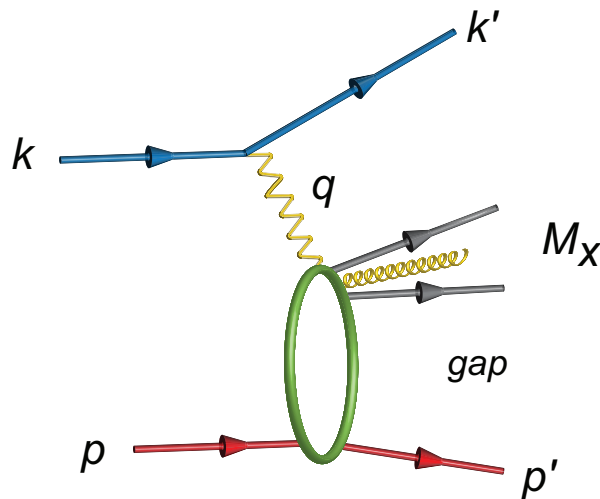


## Deformation of parton's *spatial distribution*

# Another signature for gluon saturation

## □ Diffractive cross section:

$$\sigma_{\text{diff}} \propto [g(x, Q^2)]^2$$

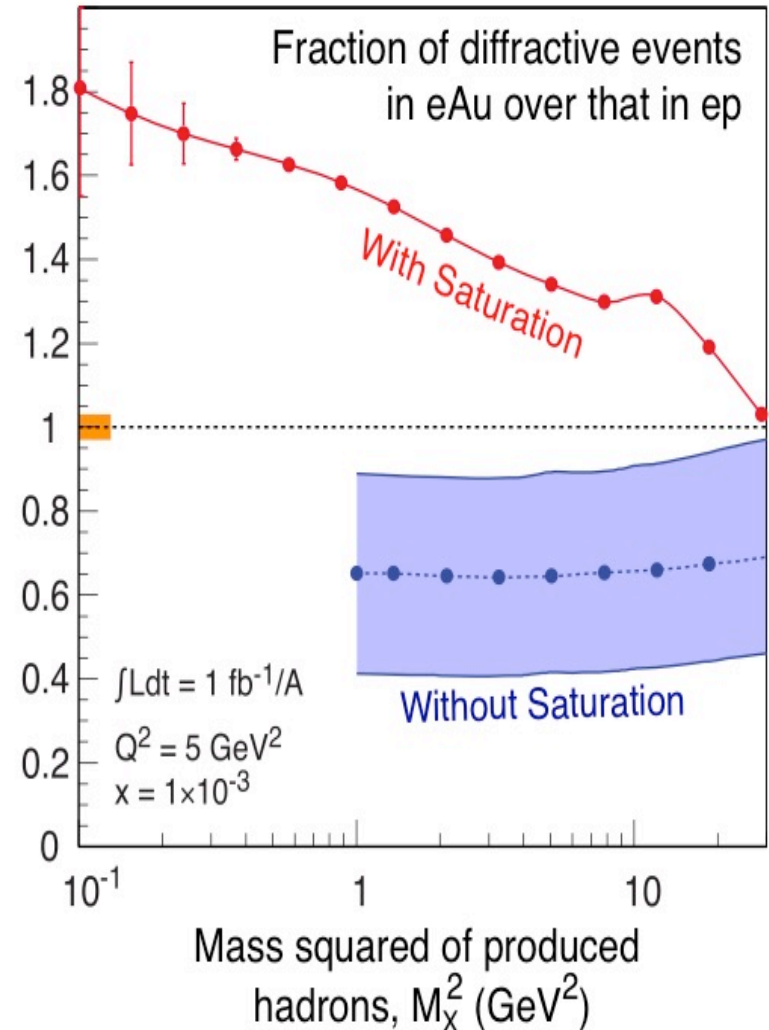


At HERA

ep: 10-15% diffractive

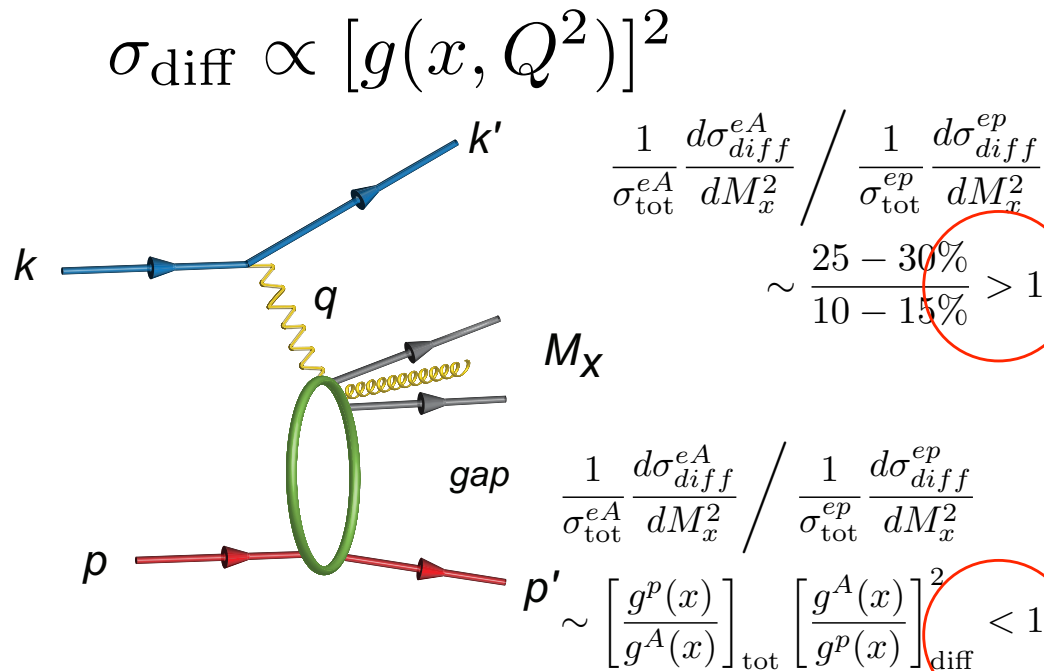
At EIC eA, if Saturation/CGC

eA: 25-30% diffractive



# Another signature for gluon saturation

## □ Diffractive cross section:



At HERA

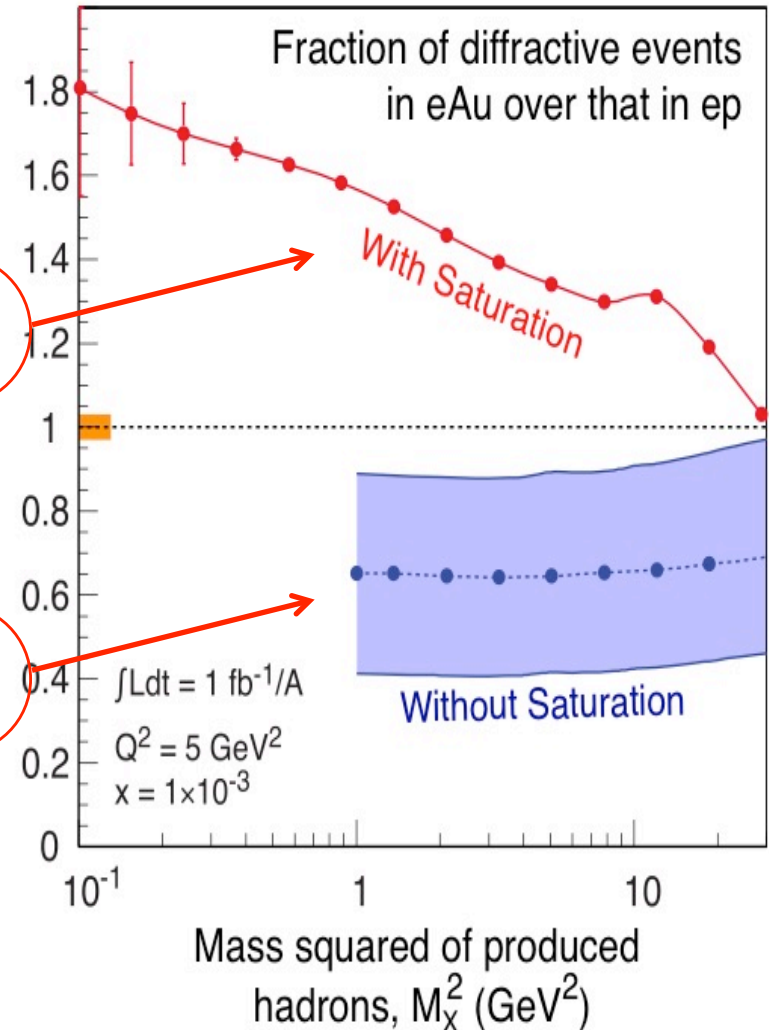
ep: 10-15% diffractive

At EIC eA, if Saturation/CGC

eA: 25-30% diffractive

Early work – E665 @ FNAL:

Nuclear shadowing, diffractive scattering and low momentum protons in  $\mu$  Xe interactions at 490 GeV



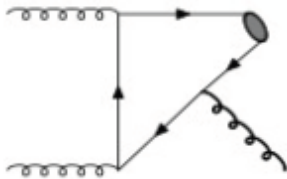
# Emergence of hadrons/Jets

## □ Hadronization:

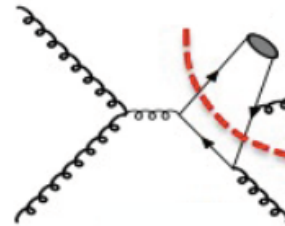
- ✧ Single-Parton Fragmentation functions – necessary for SIDIS
- ✧ Double-Parton Fragmentation functions – new

Heavy quarkonium production -  $c\bar{c}$  ( $b\bar{b}$ ) fragmentation  
(rate, polarization, hadronization mechanism, ...)

Kang, et al.  
Fleming et al.



$$\frac{d\hat{\sigma}^{LO}}{dp_T^2} \approx \alpha_s^3 \frac{m_Q^4}{p_T^8}$$

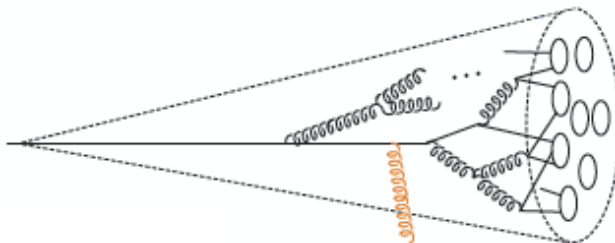


$$\frac{d\hat{\sigma}^{NLO}}{dp_T^2} \approx \alpha_s^4 \frac{m_Q^2}{p_T^6}$$

Light meson production -  $u\bar{d}$  ( $u\bar{s}$ , ...) fragmentation  
(suppressed in production, enhanced in fragmentation, ...)

## □ Jet substructure:

See also Kang's talk



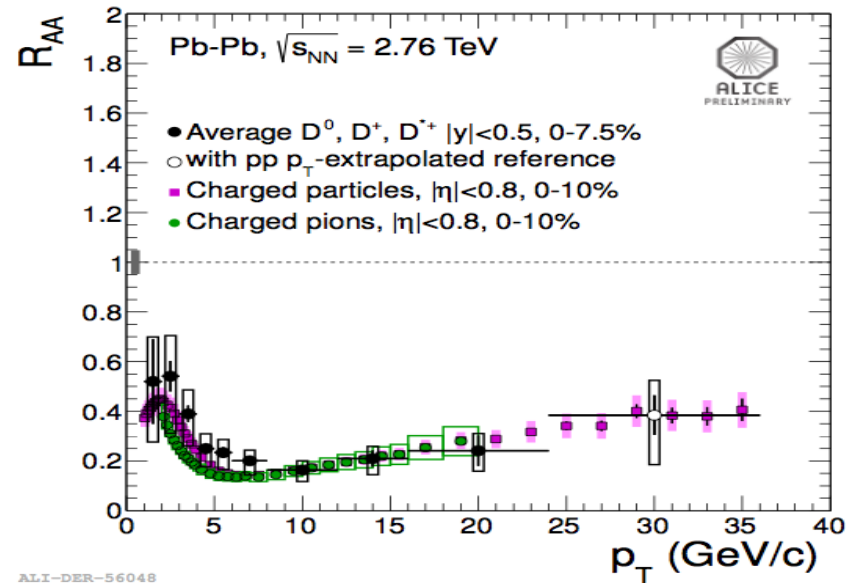
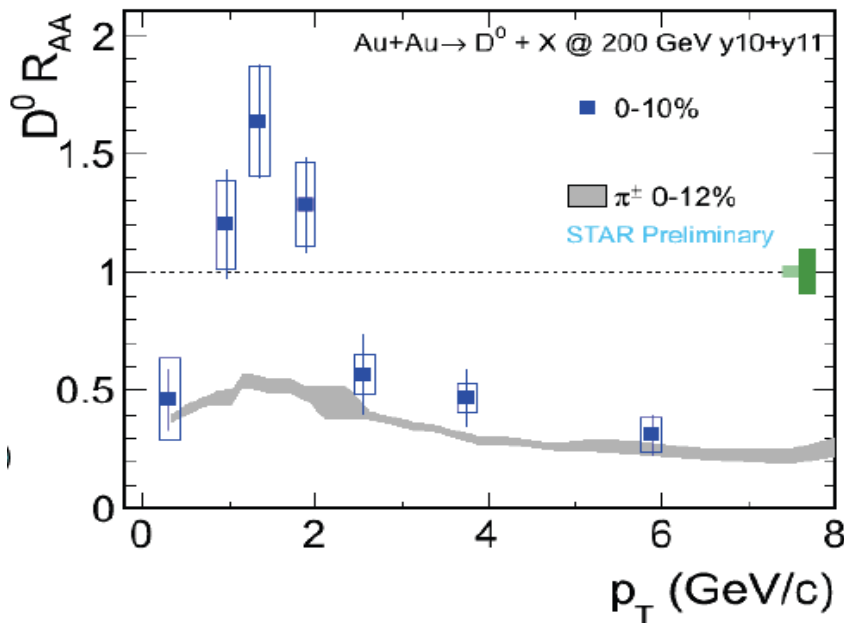
Two-scales: Jet energy  $\gg$  Jet “mass”

Tool: Soft-Collinear Effective Theory (SCET)

Challenge: Jet in medium?

# Hadronization puzzle

## ❑ Strong suppression of heavy flavors in AA collisions:



## ❑ Emergence of hadrons:

*How do hadrons emerge from a created quark or gluon?*

*How is the color of quark or gluon neutralized?*

## ❑ Need a femtometer detector or “scope”:

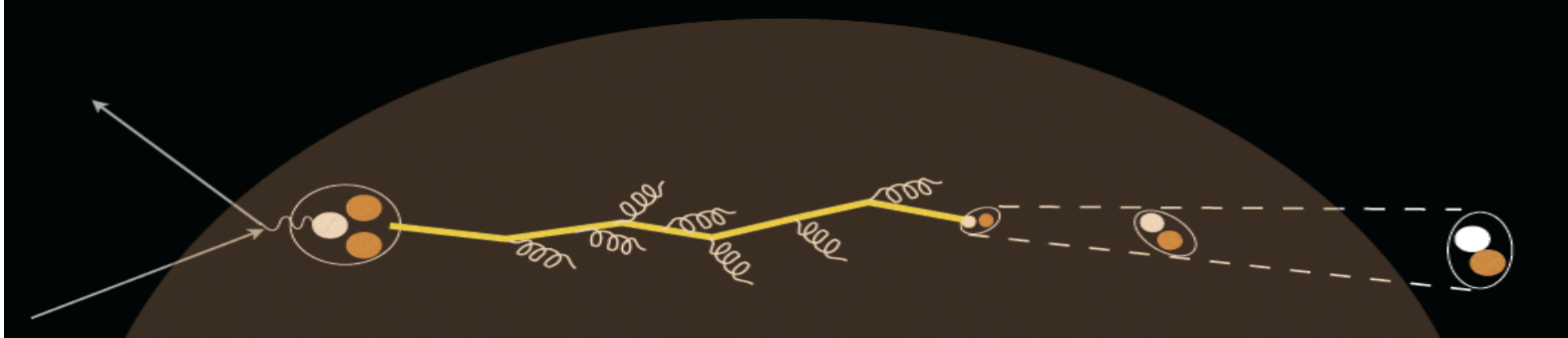
Nucleus, a laboratory for QCD

Evolution of partonic properties



# In-medium hadronization

- Unprecedented range of photon energy  $\nu$  at EIC:  $\nu = \frac{Q^2}{2mx}$



- ✧ Small  $\nu$  - in medium hadronization:

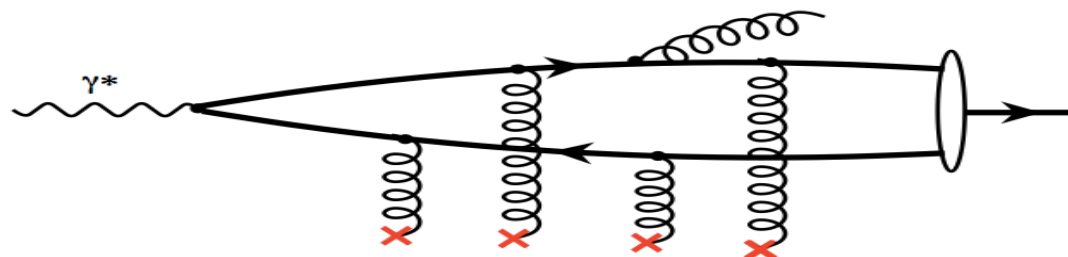
Stages of hadronization: parton, pre-hadron, hadron

- ✧ Large  $\nu$  - parton multiple scattering:

Parton energy loss – cold nuclear matter  $\hat{q}$

- Heavy quark and quarkonium production:

Nucleus:  
Femtometer size  
Vertex detector

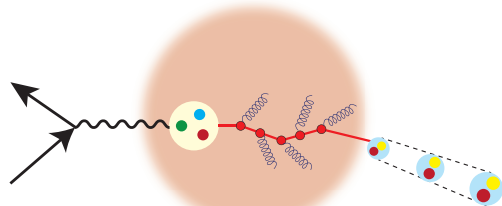


Filter for production  
mechanism!

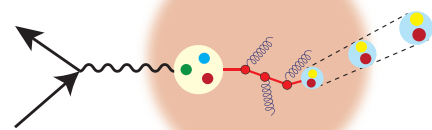
# Emergence of hadrons from partons

How hadrons emerge from colored quarks and gluons?

□ Unprecedented  $\nu$  range at EIC:



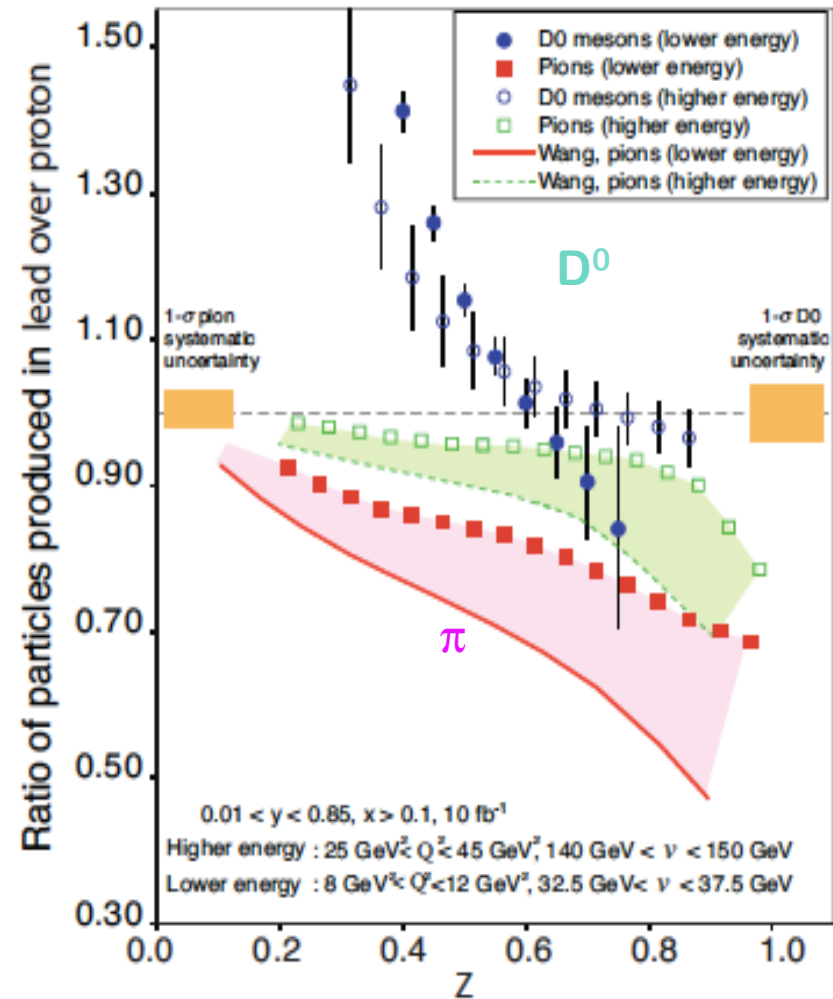
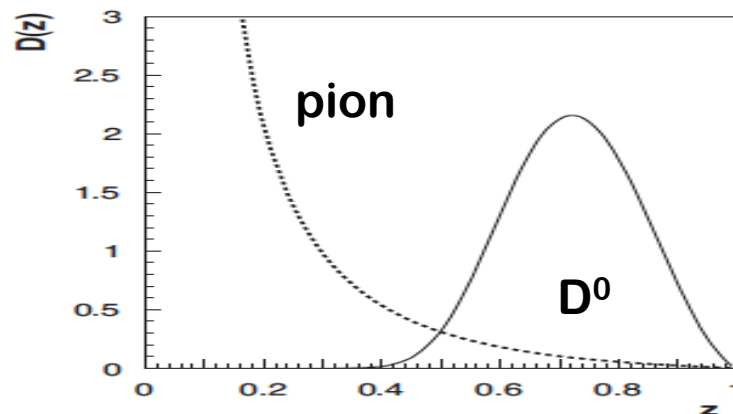
$$\nu = \frac{Q^2}{2mx}$$



Control of  $\nu$  and medium length!

□ Heavy quark energy loss:

– Mass dependence of fragmentation

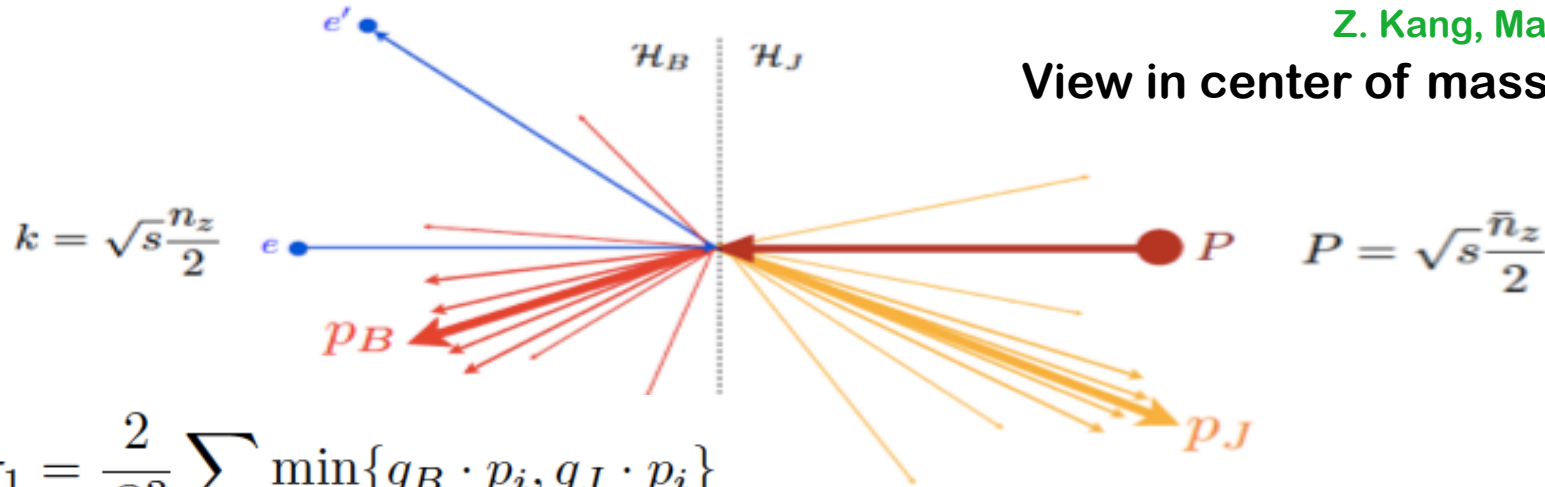


Need the collider energy of EIC and its control on parton kinematics

# 1-Jettiness cross section in e-A – event shape

Z. Kang, Mantry, Qiu, 2012

View in center of mass frame

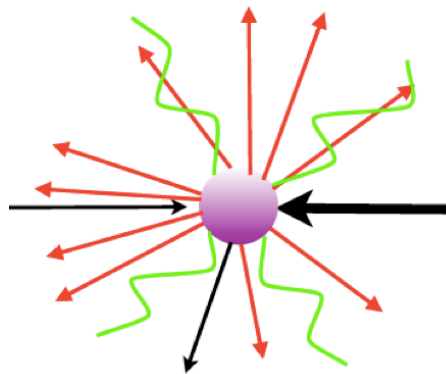


$$\tau_1 = \frac{2}{Q^2} \sum_i \min\{q_B \cdot p_i, q_J \cdot p_i\}$$

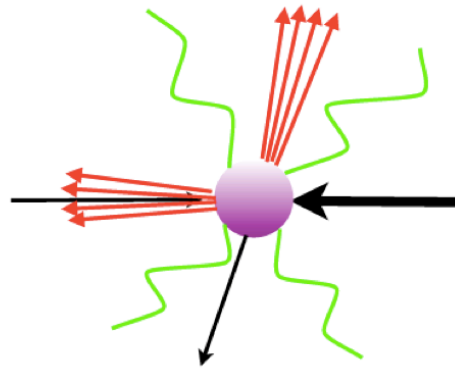
$$d\sigma_A \equiv \frac{d^3\sigma(e^- + N_A \rightarrow J + X)}{du \, dP_{JT} \, d\tau_1}$$

1-jettiness:  
global event  
shape

Good measurement  
of the radiation pattern!



$$\tau_1 \sim P_{JT}$$



$$\tau_1 \ll P_{JT}$$

D. Kang, Lee, Stewart, 2013

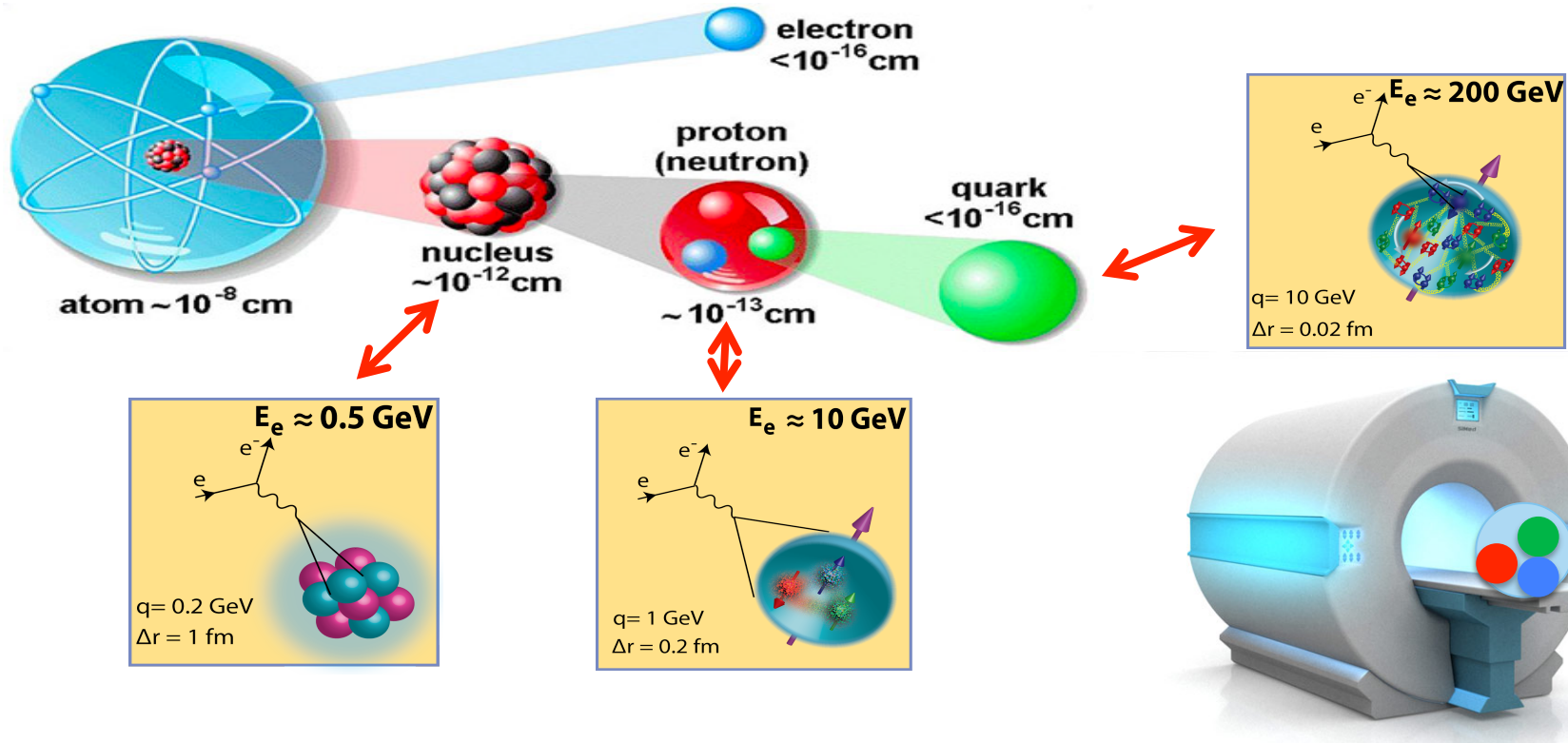
# Summary

- ❑ EIC is a ultimate QCD machine:
  - 1) **to discover and explore** the quark/gluon structure and properties of hadrons and nuclei,
  - 2) **to search for** hints and clues of color confinement, and
  - 3) **to measure** the color fluctuation and color neutralization
- ❑ EIC is a tomographic machine for nucleons and nuclei with **a resolution better than 1/10 fm**
- ❑ EIC designs explore the polarization and intensity frontier, as well as the frontier of new accelerator/detector technology
- ❑ What EIC can do is not only complementary to, but also unique and better than what current facilities around the world can do for exploring QCD and hadron structure

**Thanks!**

# Electron-Ion Collider (EIC)

- A giant “Microscope” – “see” quarks and gluons by breaking the hadron

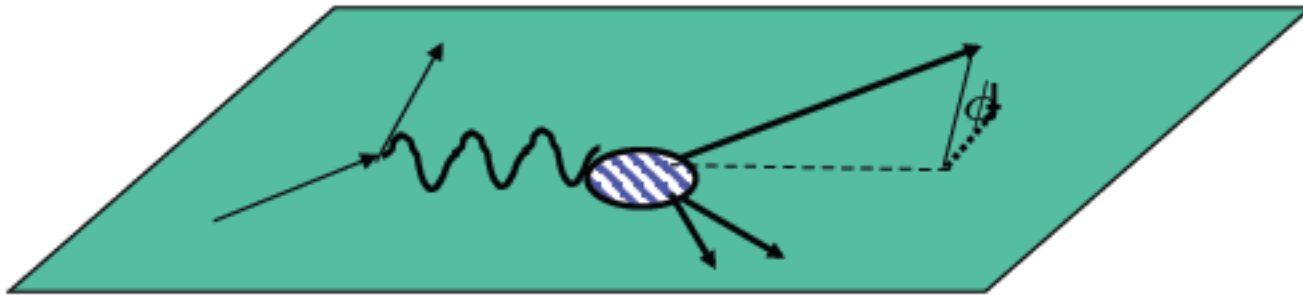


- Also a sharpest “CT” – “imagine” them without breaking the hadron  
– “cat-scan” the nucleon and nuclei with better than 1/10 fm resolution
- Why now?
  - Exp – advances in luminosity, energy reach, detection capability, ...
  - Thy – breakthrough in factorization – “see” confined quarks and gluons, ...

# Density distribution – Fluctuation

## □ Azimuthal distribution:

Guo, Liang, Wang, 2010  
Pitonyak, Qiu



*$V_n$  in SIDIS?*

$$\langle \cos \phi \rangle_{eA} = \frac{2(2-y)\sqrt{1-y}}{1+(1-y)^2} \frac{k_T}{Q} \frac{x_B f_{A\perp}^q(x_B, k_T)}{f_A^q(x_B, k_T)}$$

## □ A-dependence of the $k_T$ -dependent distribution:

$$f_{A\perp}^q(x, k_T) \approx \left( 1 + \frac{\Delta}{2k_T^2} \vec{k}_T \cdot \vec{\partial}_{k_T} \right) \frac{A}{\pi\Delta} \int d^2q_\perp \exp \left[ -\frac{(\vec{k}_\perp - \vec{q}_\perp)^2}{\Delta} \right] f_{N\perp}^q(x, \vec{q}_\perp)$$

$$f_A^q(x, \vec{k}_\perp) \approx \frac{A}{\pi\Delta} \int d^2q_\perp \exp \left[ -\frac{(\vec{k}_\perp - \vec{q}_\perp)^2}{\Delta} \right] f_N^q(x, \vec{q}_\perp)$$